



The Genomic and Environmental Stressors that Impact Microbial Pathogenesis

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Alvin Lee
Food Safety Summit 2024

Food Environment

- **Physical/chemical environments**

- Production
- Processing
- Preservation
- Storage
- Transportation
- Consumption

- **Microbiological quality evaluations**

- Standardized procedures



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Classic acid stress example by Foster & Hall in 90s on *Salmonella* ATR

Adaptive Acidification Tolerance Response of *Salmonella typhimurium*

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Received 14 August 1989/Accepted 1 November 1989

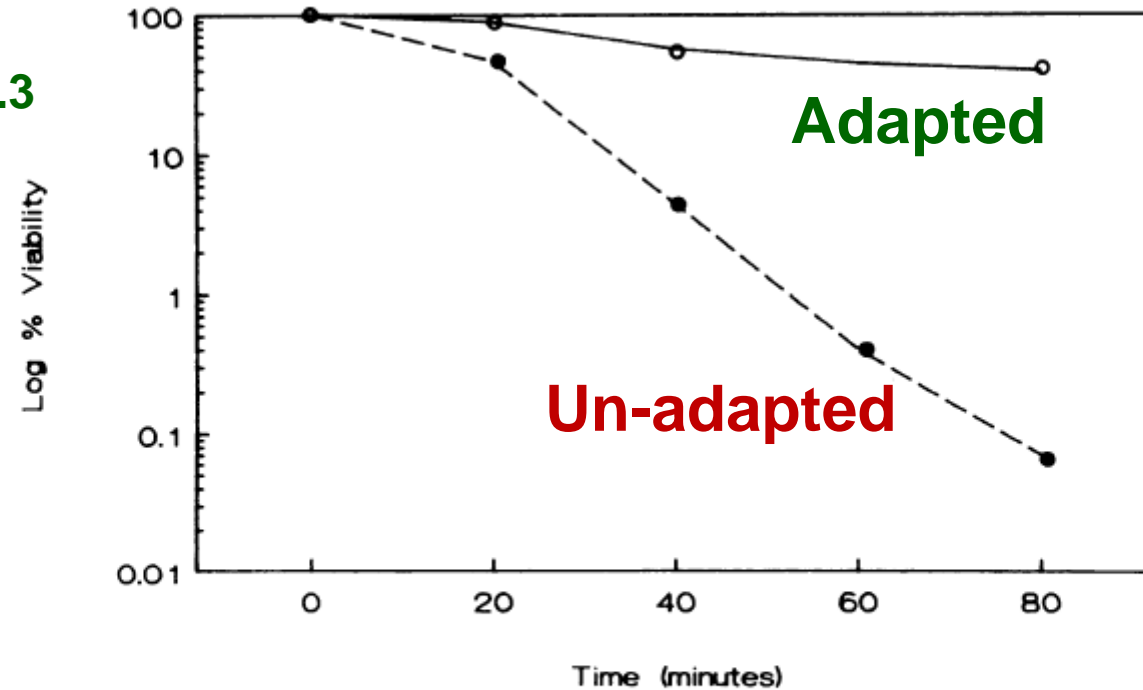
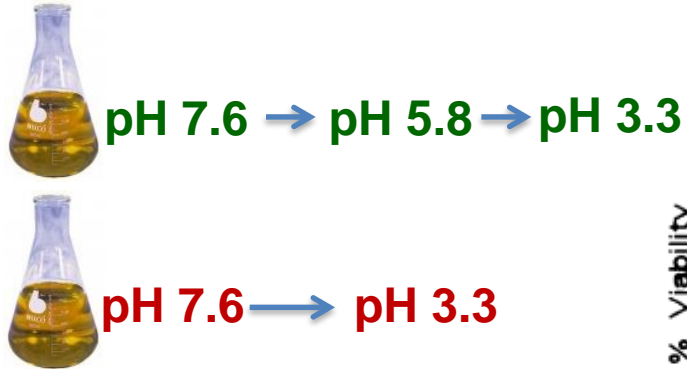


FIG. 1. ATR. Cells grown to 10^8 cells per ml in pH 7.6 minimal glucose medium were adapted by adjusting the medium pH to 5.8 (○). After one doubling, the cells were challenged by readjusting the pH to 3.3 (t_0). Unadapted cultures (●) remained at pH 7.6 until achieving a cell density of 2×10^8 cells per ml and then were directly challenged at pH 3.3 (t_0). Viable counts were determined at timed intervals. The results are expressed in terms of log percent survival.

Stress Adaptation

“A situation whereby a brief exposure to a **suboptimal** physical or chemical environment that enables the cells to resist subsequent exposure to the **same or other types** of harsher treatment to which the species is normally susceptible.”

Ray et al

Stress Adaptation

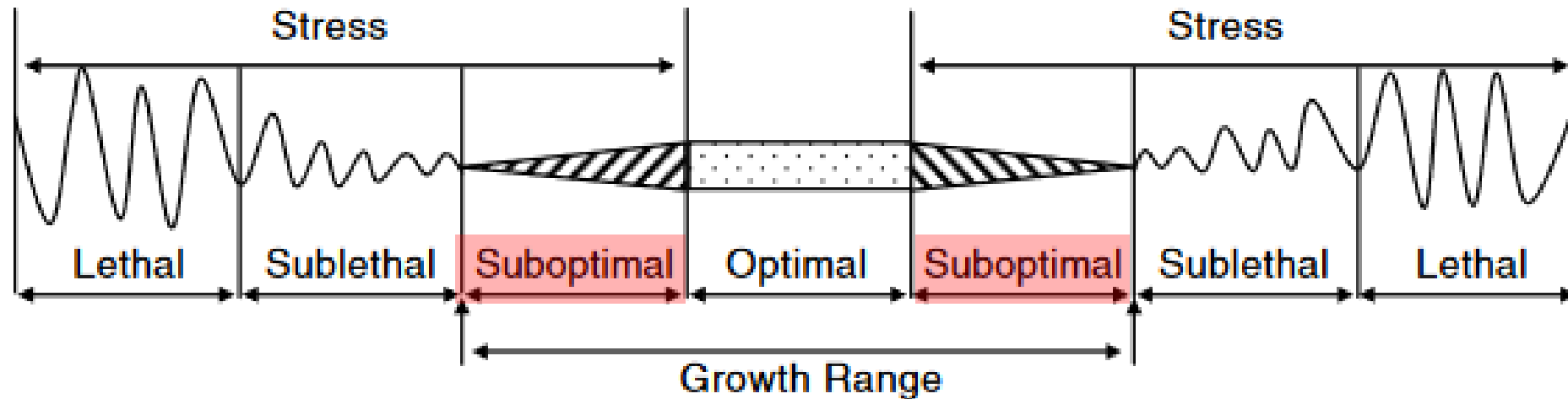


Figure 9.1 Different levels or degrees of environmental stresses to which bacterial cells can be exposed during processing and preservation of food. Bacterial cells exposed to a suboptimal growth condition show stress adaptation. Beyond the growth range, the cells are usually either sublethally or lethally stressed. See text for further explanations.

Ray et al

Types of Stress

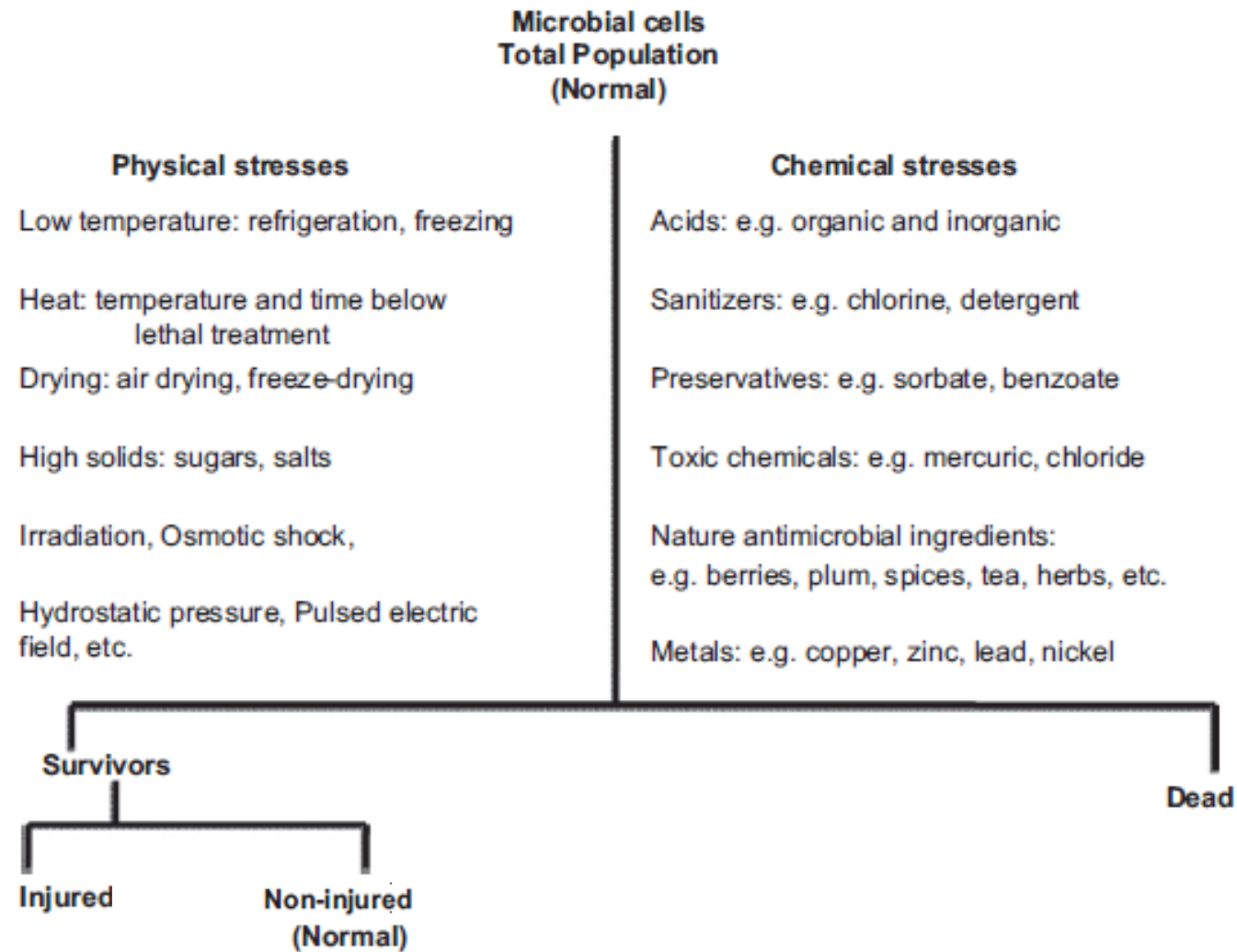
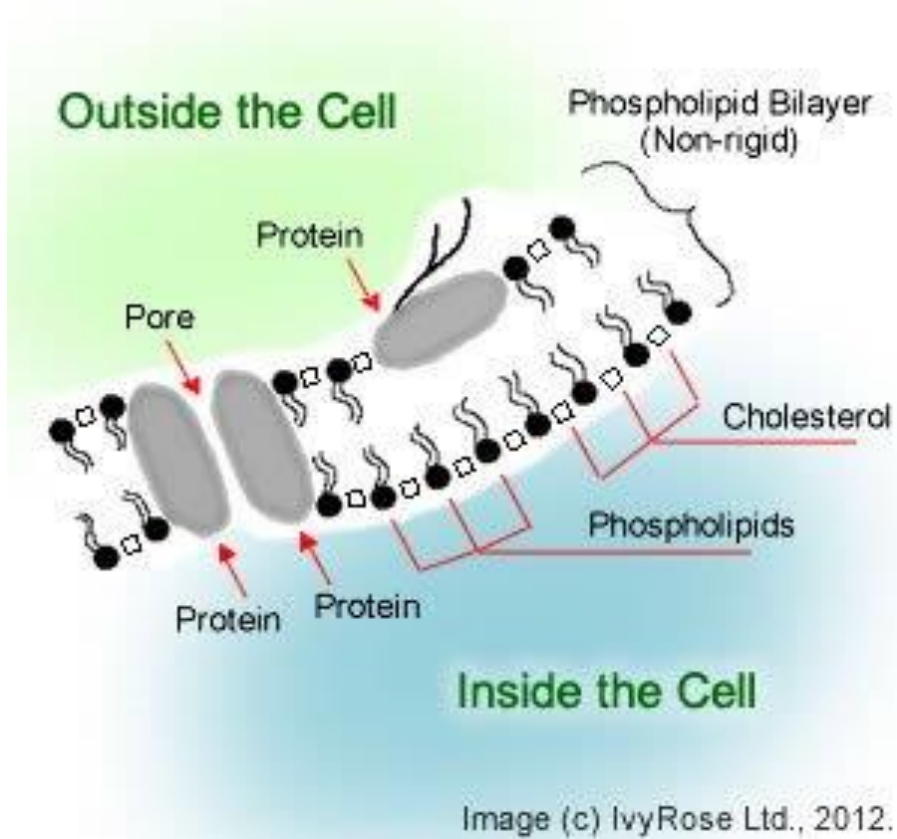


Fig. 1. Effects of sublethal treatments on microbial cells (Ray, 1979, 1989; Russell, 1984; McFeters, 1989; Bozoglu et al., 2004).

Mechanisms of Stress Adaptation



- Bacterial cells alter lipid composition (membrane)
 - Maintained fluid state
- Proportion of cyclopropane fatty acids in the cell membrane regulated by cyclopropane fatty acid synthase
 - Leads to Gram negative pressure, acid and oxidative resistance

Mechanisms of Stress Adaptation

Current Concept:

Gene Response

→ Shock Proteins
→ Stress Proteins

- Specific or nonspecific
- Inducible or constitutive
- ❖ Aid for adaptation to other stressors

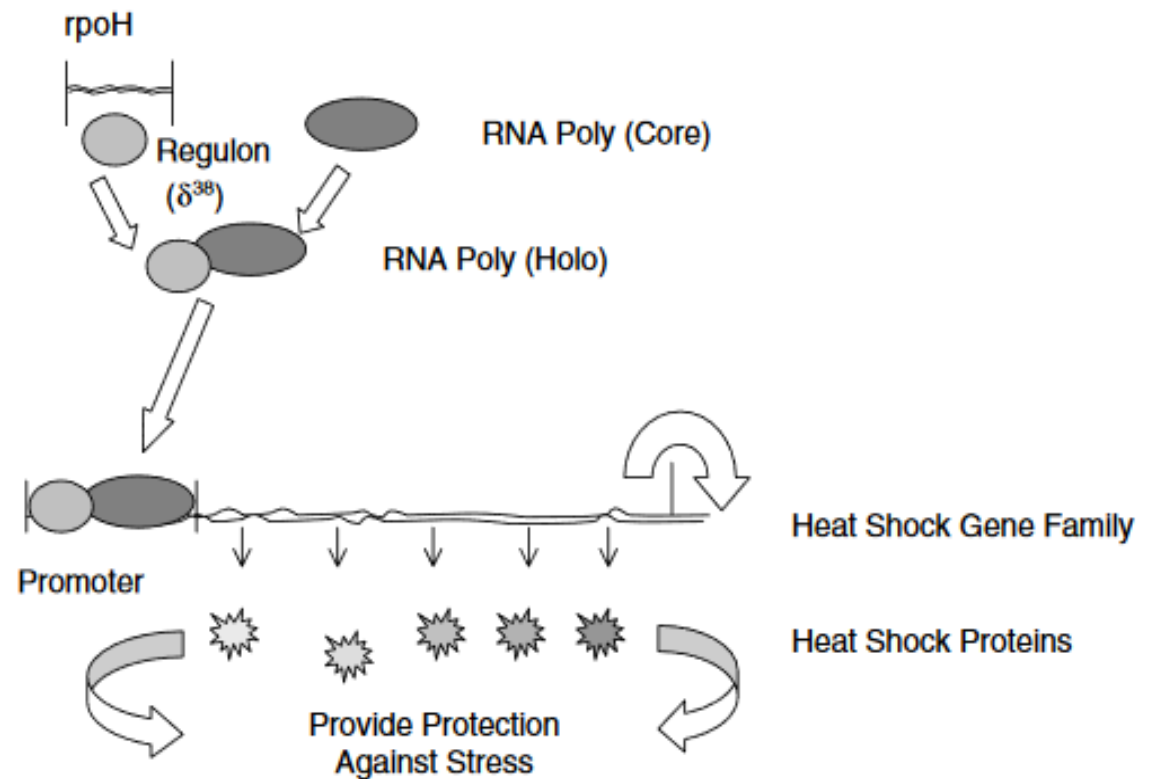


Figure 9.2 Genetic basis of coping stress with sigma factors by bacterial cells. See text for explanations.

Ray et al

Stress-Related Genes

- Bacteria contain a primary σ factor that is responsible for transcription of housekeeping genes necessary for growth and survival
- Many bacteria encode **multiple alternative σ factors**
 - The level and activity of the alternative σ factors are highly regulated and can vary depending on environmental or developmental signals
- Example: *rpo* systems
 - Usually regulated by a regulatory gene
 - Gram (-) – *rpoH* and *rpoE* for heat response and *rpoS* for general stress, cell density and starvation

Sigma Factors

Organism	σ	Gene	Function
<i>E. coli</i>	σ^{70} (σ^D)	<i>rpoD</i>	Housekeeping genes
	σ^H (σ^{32})	<i>rpoH</i>	Heat shock
	σ^E (σ^{24})	<i>rpoE</i>	Extreme heat shock, periplasmic stress (ECF)
	σ^F (σ^{28})	<i>fliA</i>	Flagellar-based motility
	σ^S (σ^{38})	<i>rpoS</i>	Stationary phase adaptations
	σ^N (σ^{54})	<i>rpoN, glnF</i>	Nitrogen-regulated genes
	σ^{fecl}	<i>fecl</i>	Ferric citrate uptake (ECF)

J. Helmann. Sigma factors in gene expression. 2005;
10.1038/npg.els.0003829

- ❖ *E. coli* can choose between 7 σ factors to fine tune its transcriptional output

rpoS Regulation of Acid, Heat, and Salt Tolerance in *Escherichia coli* O157:H7

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Received 11 December 1995/Accepted 9 March 1996

An *rpoS* mutant (*rpoS*::pRR10) of *Escherichia coli* O157:H7 ATCC 43895 was generated. Stationary-phase acid, heat, and salt tolerance was significantly reduced, and starvation-induced acid tolerance did not develop in the mutant. RpoS was also important for survival of *E. coli* O157:H7 in dry, fermented sausage.

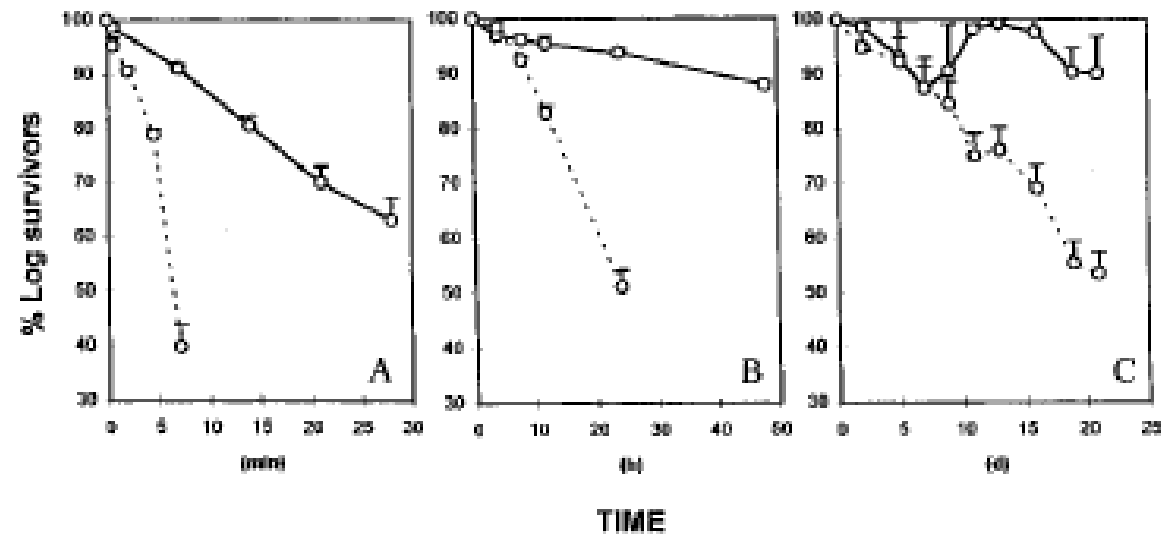


FIG. 1. Heat (A) and salt (B) tolerance and survival in dry, fermented sausage (C) of stationary-phase *E. coli* O157:H7 ATCC 43895 (○—○) and *rpoS* mutant FRIK 816-3 (○---○). Error bars represent standard deviations of the means.

Survival of *Campylobacter jejuni* under Conditions of Atmospheric Oxygen Tension with the Support of *Pseudomonas* spp.[▽]

Friederike Hilbert,^{1*} Manuela Scherwitzel,¹ Peter Paulsen,¹ and Michael P. Szostak²

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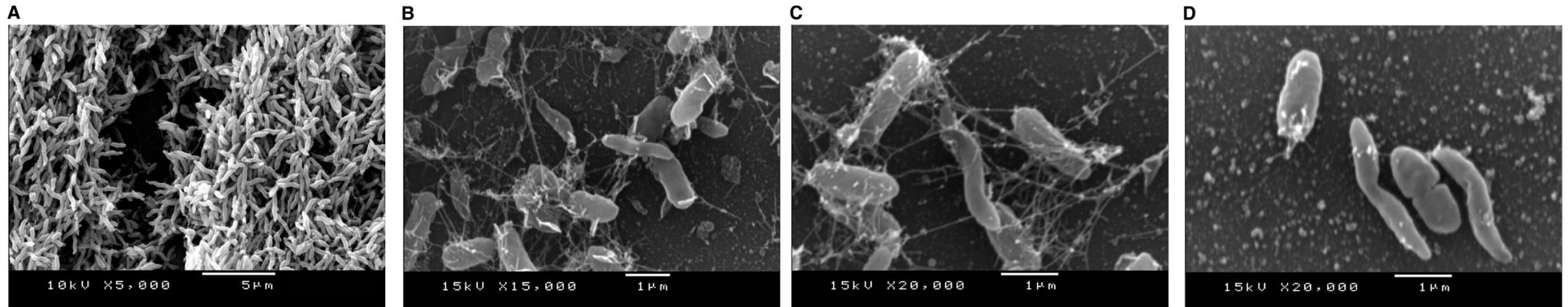


FIG. 3. Representative scanning electron microscopy. (A) SEM of *C. jejuni* DSM 4688 cultured under microaerobic conditions. (B and C) SEM of *P. putida* DSM 50198 and *C. jejuni* DSM 4688 cocultured under aerobic conditions for 32 h. *C. jejuni* cells are spiral shaped when cocultured with *P. putida*. (B to D) Cells of *Pseudomonas* and *Campylobacter* can be distinguished by the shape and thickness of the cells; fiberlike structures form cobwebs around the bacteria. (D) Both *P. putida* and *C. jejuni* seem to interact by close contact.

Emerging or Re-Emerging

- Novel etiological agents that have been recently introduced in a population
- Often zoonotic in origin
- Microorganisms include Gram +, Gram – bacteria, parasites and viruses
- Acquisition of new virulence factors
- Acquisition of antibiotic resistance
- Genetic assortment
- Factors – human host, environment, processing, travel, novel companion animals etc...



Spanish flu – 1918

1957 - Asian flu

1968 – Hong Kong flu

1977 – Russian flu

2009 –swine flu

Several other flu outbreaks



Contents lists available at ScienceDirect

Veterinary Microbiology

journal homepage: www.elsevier.com/locate/vetmic



Detection and analysis of bovine rotavirus strains circulating in Australian calves during 2004 and 2005

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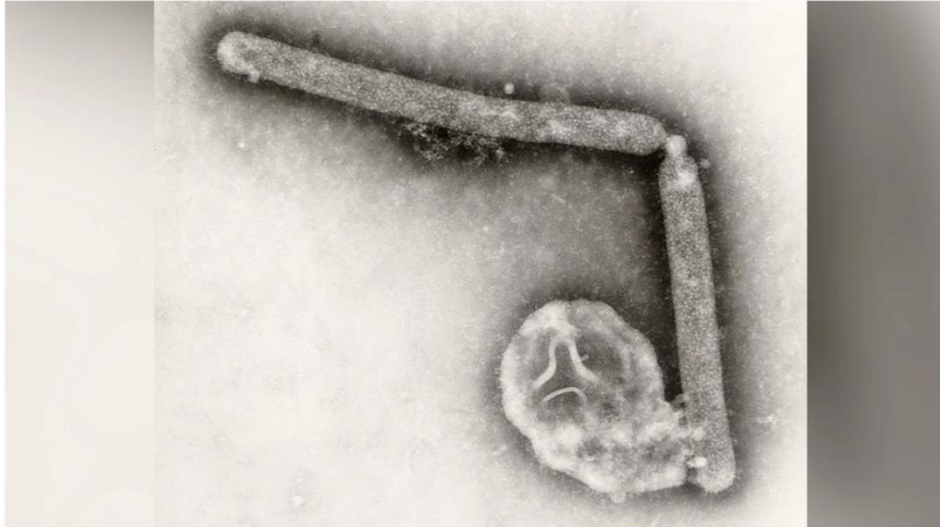
^cEnvironment and Biotechnology Centre, Faculty of Life and Social Sciences, Swinburne University of Technology, Hawthorn, Victoria, Australia

^dFood Science Australia, Werribee, Victoria, Australia

Circulation of bovine G6 and G10 strains in calves and humans

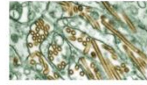
What a US farmworker's case of bird flu tells us about tracking the infection

By Brenda Goodman, CNN
4 minute read · Published 10:31 AM EDT, Fri May 3, 2024



A dairy worker's infection is important because it confirms that humans can be infected with H5N1 after

MORE FROM CNN



H5N1 bird flu was circulating in dairy cows for four months before it ...



Continued FDA testing finds no active bird flu virus in variety of dairy ...



USDA says it is testing beef for H5N1 bird flu virus

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Updates on Highly Pathogenic Avian Influenza (HPAI)



Fragments of bird flu virus genome found

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FDA says

The test cannot tell if the virus is live. The FDA still assess milk supply as safe.

BETH MOLE - 4/23/2024, 8:20 PM



USDA Animal and Plant Health Inspection Service
U.S. DEPARTMENT OF AGRICULTURE

Detection of Highly Pathogenic Avian Influenza (H5N1) in Dairy Herds: Frequently Asked Questions

Updated April 16, 2024



Adaptation to Stress – A Good Idea?

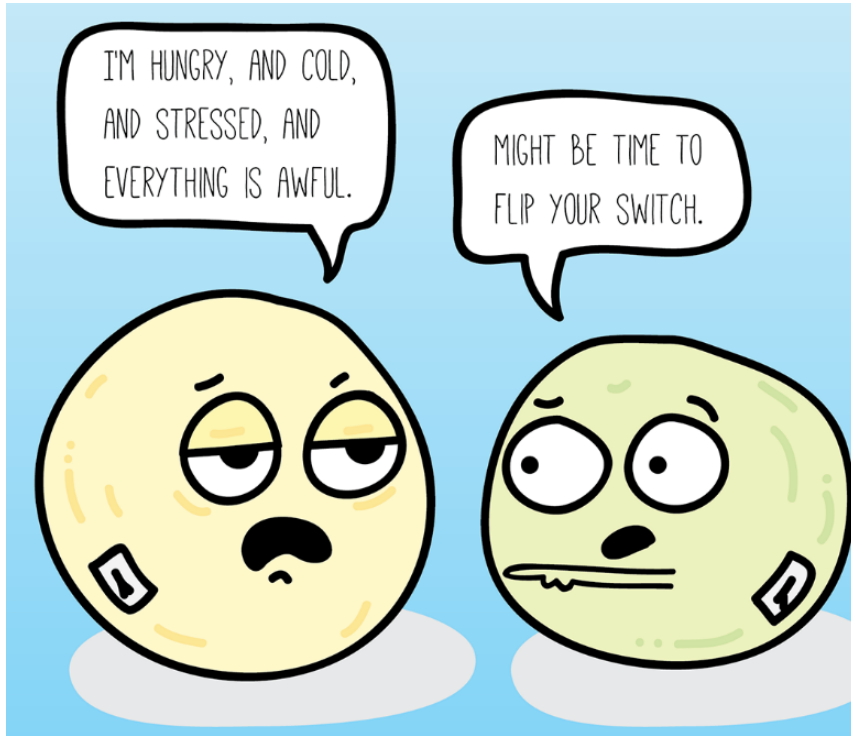
- **Beneficial bacteria**
 - Fermentation bacteria – new and novel foods
- **Pathogens and spoilage bacteria**
 - Antibiotic resistance
 - More virulent strains
- **Impact on characteristics of food**
 - New flavors – starter cultures
 - Food as medicine??

Control Measures

- Clean and disinfect environmental surfaces
- Hand washing and personal hygiene (asymptomatic transmission control)
- Interventions for reduction of pathogen load
- Improve diagnostics for detection and characterization
- Understand and evaluate food safety systems

Microbial Goal?

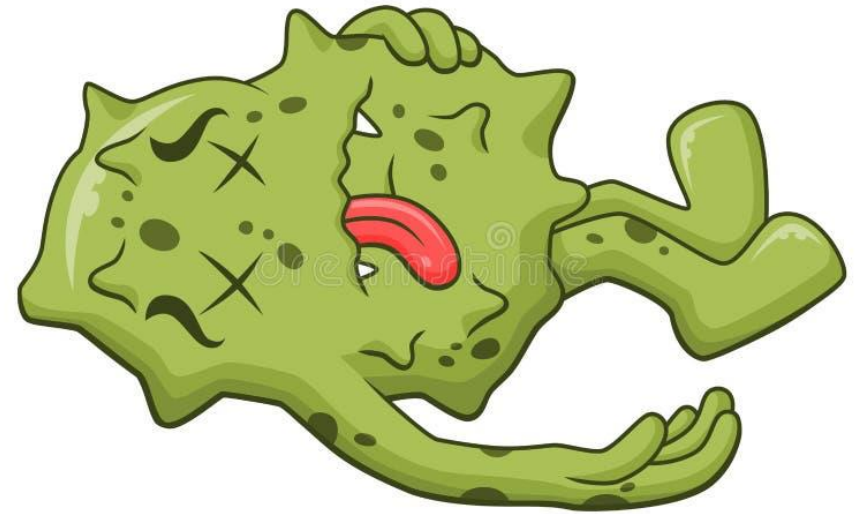
Adapt & Survive



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Perish



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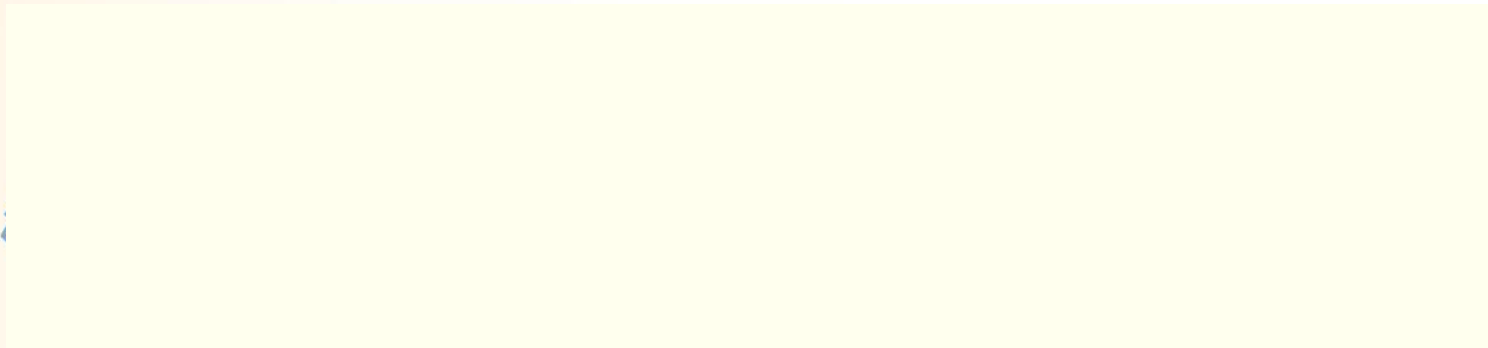
Evolution and Pathogenesis: What time has done to microorganisms - what we know and don't know

Purnendu C. Vasavada
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University of Wisconsin-River Falls
River Falls, WI. 54022

Agenda

- Introduction: Emerging, Reemerging Pathogens- What is in the name?
- Mechanism of Bacterial Pathogenicity
- Evolution of microbial Pathogens
- What we know and don't know
- Summary

Emerging, Reemerging Pathogens- What is in the name?



The Challenge of Emerging Pathogens



Infectious Diseases: Considerations for the 21st Century

Anthony S. Fauci

National Institute of Allergy and Infectious Diseases, National Institutes of Health, Bethesda, Maryland

Clin Infect Dis. 2001;32:675–85.

The challenge of emerging and re-emerging infectious diseases

David M. Morens, Gregory K. Folkers & Anthony S. Fauci

National Institute of Allergy and Infectious Diseases, National Institutes of Health, Department of Health and Human Services, Bethesda, Maryland 20892-2520, USA (e-mail: afauci@niaid.nih.gov)

Infectious diseases have for centuries ranked with wars and famine as major challenges to human progress and survival. They remain among the leading causes of death and disability worldwide. Against a constant background of established infections, epidemics of new and old infectious diseases periodically emerge, greatly magnifying the global burden of infections. Studies of these emerging infections reveal the evolutionary properties of pathogenic microorganisms and the dynamic relationships between microorganisms, their hosts and the environment.

**Nature, volume 430, pages 242–249
(2004)**

Emerging, Reemerging and Opportunistic Pathogens

- **Emerging pathogens** are New, reemerging, or drug-resistant infections whose incidence in humans have increased within the past two decades or threatened to increase in the near future
- **Reemerging Pathogens**- involved in the reappearance of a known disease following a decline in incidence including newly recognized pathogens, new diseases caused by known organisms, and the extension of the geographic or host range of a pathogen
- **Opportunistic Pathogens**- are microbes that usually do not cause disease in healthy people, but may become virulent with immunocompromised and unhealthy individuals

Emerging, Reemerging and Opportunistic Pathogens

Early 1900

- Typhoid fever
- Tuberculosis
- Septic sore throat
- Diphtheria
- Brucellosis

1940s-1960s

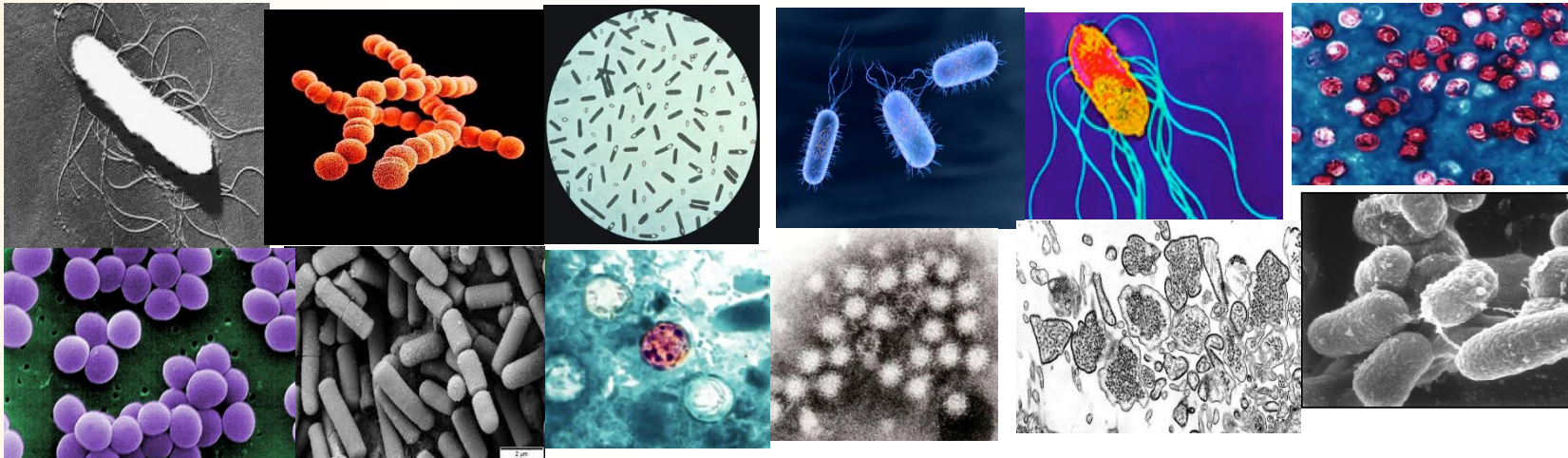
- Clostridium botulinum
- Salmonella Spp.
- Staphylococcus aureus
- Streptococci

1960s - 1990s

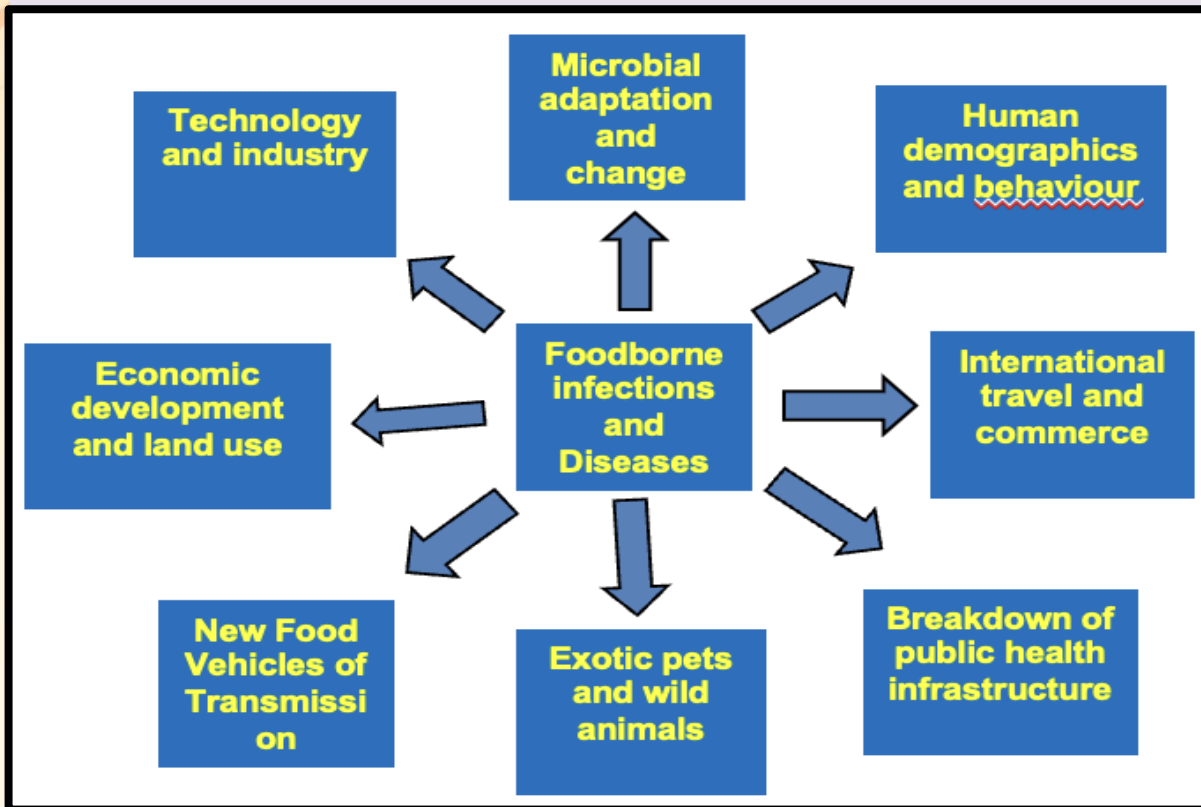
- Salmonella
- Clostridium perfringens
- Vibrio parahaemolyticus
- Bacillus cereus
- Pathogenic E. coli
- Campylobacter jejuni

2000 - s

- Salmonella Spp.
- E. coli 057:H7
- Non 0157 EHEC/STECs
- Listeria monocytogenes*
- Yersinia enterocolitica*
- Bacillus cereus*
- Staphylococcus aureus
- Cronobacter sakazakii
- Vibrio parahemolyticus
- V. vulnificus
- Cryptosporidium, Cyclospora, Toxoplasma gondii
- Norovirus, Hep A, Nipah Virus



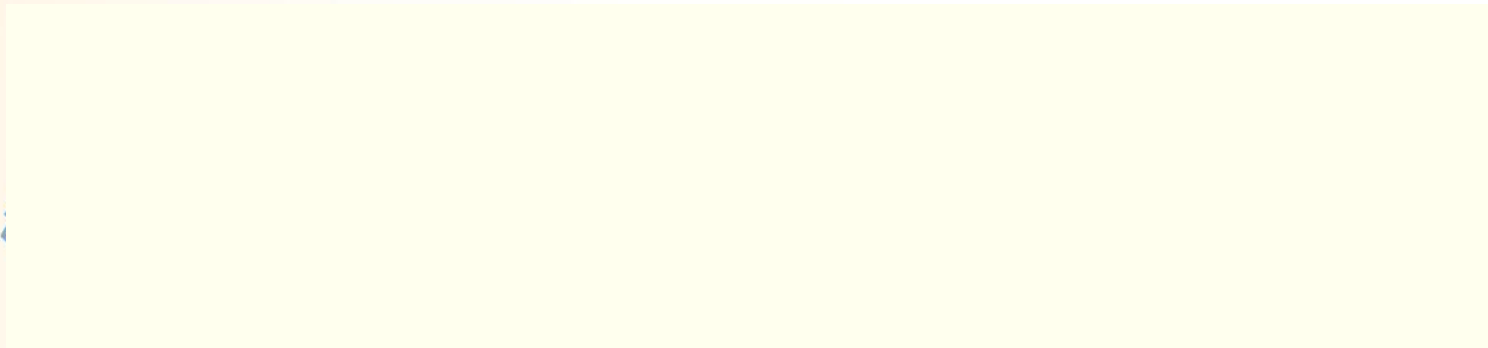
Factors Contributing to the Emergence of Foodborne Pathogens and Diseases



- Microbial adaptation and change
- Human susceptibility to infection
- Climate and weather
- Changing ecosystems
- Human demographics and behavior
- Economic development and land use
- International travel and commerce
- Technology and industry
- Breakdown of public health measures
- Other social, political and economic factors e.g. War and famine, Lack of political will, and Intent to harm



Mechanisms of Bacterial Pathogenicity



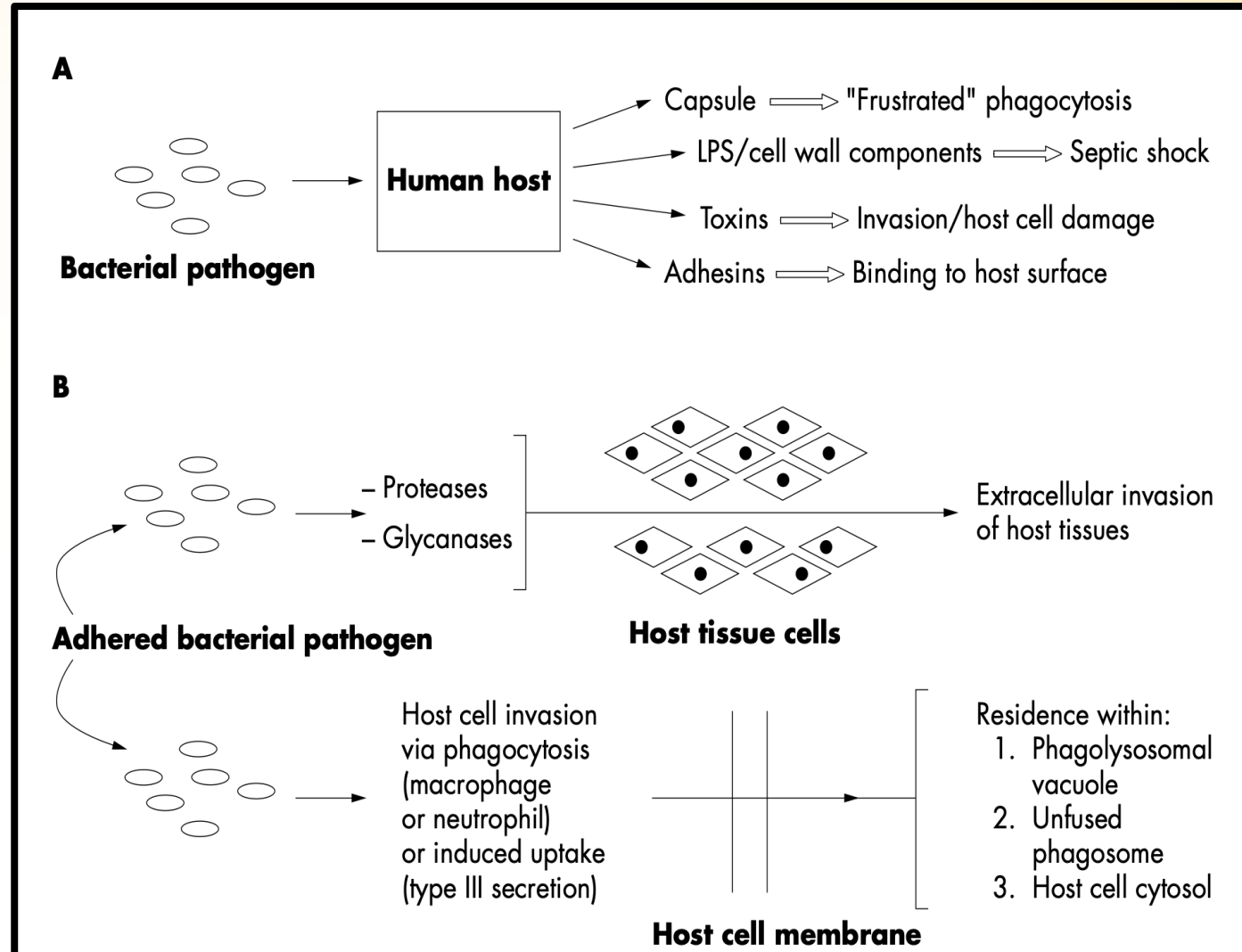
Pathogenesis and Pathogenicity

- **Pathogenesis** refers to the development of a disease
- **Pathogenicity** refers to the ability of an organism to cause disease.
- Commensals and opportunistic pathogens lack this inherent ability to cause disease
- **Virulence** refers to the degree of pathology caused by the organism.
- Virulence genes are involved in horizontal (lateral) transfer
- The extent of the virulence is usually correlated with the ability of the pathogen to multiply within the host and may be affected by other factors.
- Pathogenicity is used as a qualitative term, virulence is used more as a quantitative term.

Mechanisms of Bacterial Pathogenicity

- 4 Stages of Pathogenesis
- Exposure (contact)
- Adhesion (colonization)
- Invasion
- Infection

- Survival and replication after invasion
- Adaptation to the new environment
- Antibiotic resistance
- Biofilm

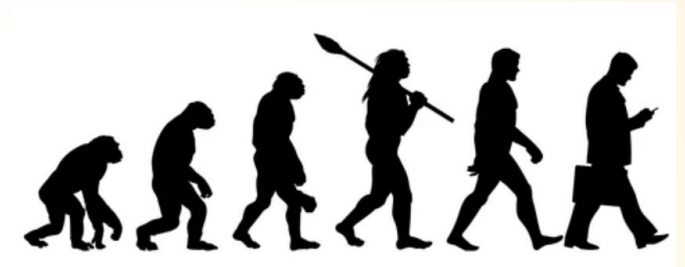
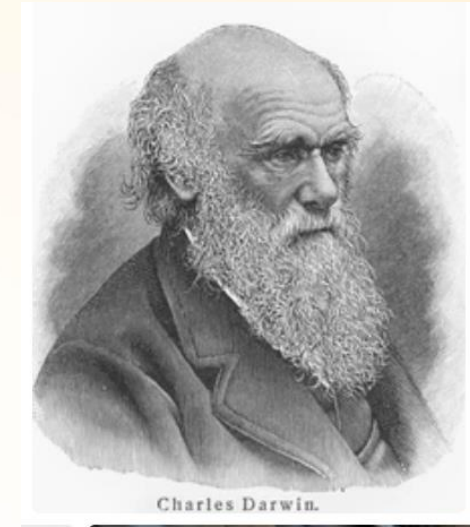
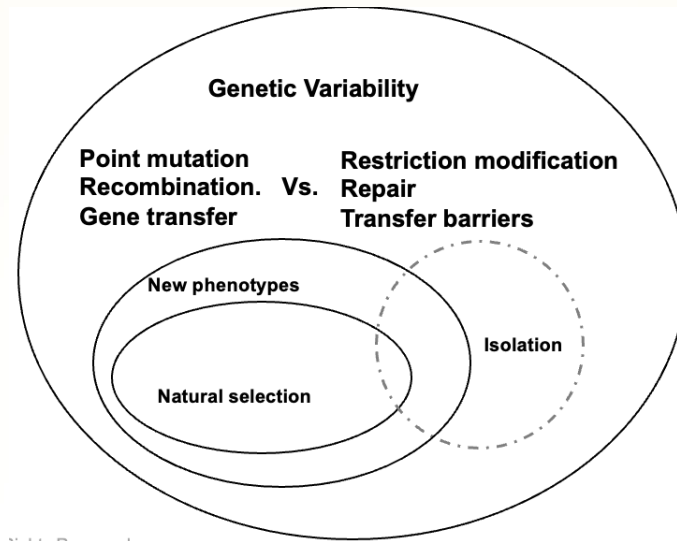


Evolution of microbial Pathogens

Evolution

Darwinian Principles of Evolution

- Genetic variability
- Phenotype formation
- Selection, and
- Isolation



Pathogens evolve over time following [natural selection](#).

Evolution of Microbial Pathogens

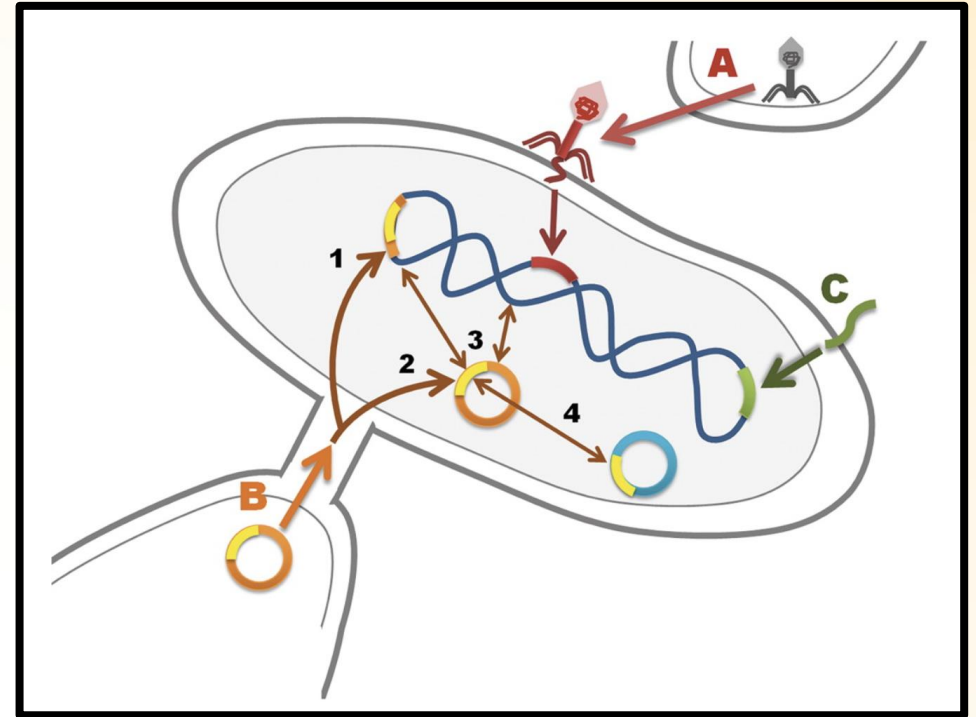
- Bacteria can evolve from non-pathogens to become pathogens through acquisition of new genetic material that enables them to colonize a host species and have detrimental effects on the host
- Bacterial evolution occurs through mechanisms including natural selection and genetic drift and can result in adaptations to environmental change or host immunity
- The genetic makeup of bacterial genomes is subject to rapid and dramatic change through a variety of processes collectively referred to as “horizontal gene transfer” (HGT), which plays a principal part in the molecular evolution of novel bacterial pathogens
- Pathogenic bacteria can undergo further genetic modification that leads to altered virulence and changes in their genome

Genetic Mechanisms in Bacterial Evolution

- **Macro evolution**- Long-term processes leading to the development of new species or subspecies
- **Microevolution**- Short-term developments, which occur during days or weeks
- Both processes, macro- and microevolution need horizontal gene transfer, which is particularly important for the development of pathogenic microorganisms.
- Horizontal or Lateral gene transfer (HGT) and Mobile Genetic Elements (MGE) are important in evolution of pathogen from non-pathogenic ancestor

Genetic Mechanisms in Bacterial Evolution

- Transfer of foreign DNA –
 - Horizontal or Lateral gene transfer (HGT)
 - Transformation
 - Transduction,
 - Conjugation
 - Mobile genetic elements (MGE)



Genetic Mechanisms in Bacterial Evolution

- Horizontal Gene Transfer (HGT)
- Mobile Genetic Elements (MGE) - the DNA mobilized into the host bacterium
 - Plasmids, bacteriophages, Integrative and conjugative element, prophages, and Pathogenicity islands (PAIs) play a crucial role in the evolution of pathogens.
 - Virulence genes transferred via HGT include genes for bacterial adherence to host cells, type 3 secretion systems, toxins, iron acquisition, and antimicrobial resistance

Mobile Genetic Elements in Selected Bacterial Pathogens

Organism	Mobile Element	Virulence mechanism
EHEC, EPEC, ETEC	Plasmids, Phage, Pathogenic Island	Adherence, type III secretions, stx
Salmonella enterica	Plasmid, Pathogenic Island	Invasion of nonphagocytic cells, intracellular survival and replication
Clostridium perfringens	Plasmid, Pathogenic Island	Toxins
Listeria monocytogenes	Plasmid, Pathogenic Islands	Adherence, Invasion, enzymes
Enterococcus spp.	Plasmid, Pathogenic Islands	Biofilm, toxins, pili
Staphylococcus aureus	Pathogenic Islands, Phages	Superantigen, leukocidin

Genomic Islands and Pathogenicity Islands

- Genomic islands— blocks of DNA containing mobile genetic elements transferred from the donor organisms to recipient.
- Widely distributed in GM + and GM – bacteria and known to encode a variety of functions
- Depending on the functions which are encoded by genomic islands, they may also be called symbiosis islands, metabolic or resistance islands and pathogenic islands

Genomic Islands and Pathogenicity Islands

- Occur as distinct units on the core chromosome with a general genetic structures characterized by a set of unifying features.
- Present in the genomes of many bacteria but absent from the genomes of closely related strains
- Often large (10–200 kb); however, smaller inserts (1–10 kb) can occur
- They differ in GC content and in their codon usage from the rest of the chromosome
- They are flanked by specific sequences (direct repeats)
- They are usually associated with tRNA loci.

Pathogenicity Islands

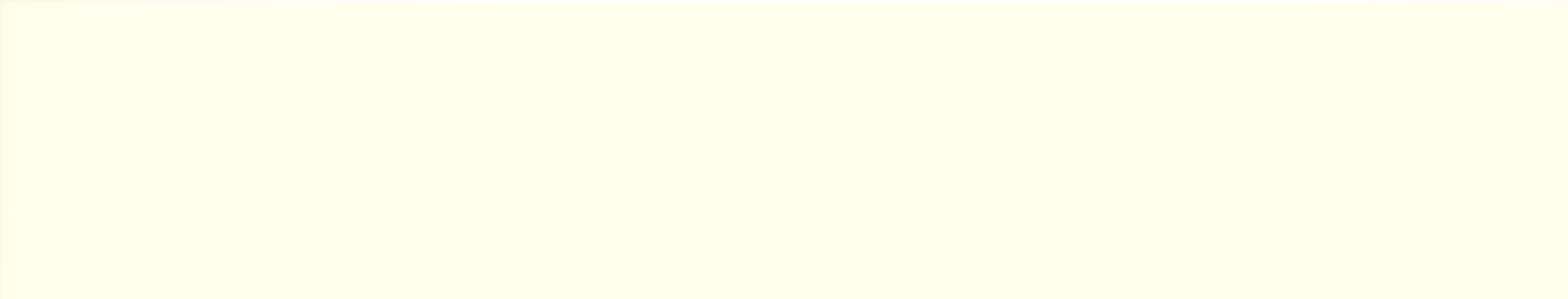
- Best known Genomic islands
- Represent compact, distinct genetic units, often flanked by direct repeats
- Encode clusters of genes whose products contribute to virulence
- Different G+C content in comparison to DNA of host bacteria
- Occupy large chromosomal regions (often > 30 kb).
- Found in GM – and GM + bacteria and known to encode a variety of functions
- They are present in the genome of pathogenic strains of a given species but absent or only rarely present in those of non-pathogenic variants of the same or related species.

Functions Coded by Pathogenic Islands

Function	Organism	Increased pathogenicity
iron uptake	Salmonella enterica Klebsiella spp. Yersinia spp., Bacillus cereus	+
toxin production	Vibrio cholerae	+
Adhesins	urinary E. coli	
Methicillin resistance	Staphylococcus aureus	
type III-system	Salmonella enterica Shigella flexneri Yersinia spp.	+
type IV-system	Helicobacter pylori	+

Hacker and Carmiel (2001)

What we know and don't know



Summary

- Emerging, re-emerging and opportunistic pathogens are important threat to food safety and public health
- Bacterial pathogenesis involve ability of pathogen to invade and infect the host, survival and replication after invasion and adaptation to the new environment as well as dealing with host immunity, antibiotic resistance, and biofilm
- Horizontal gene transfer via transformation, transduction and combination as well as Mobile Genetic Elements (MGE) are particularly important for the development of pathogenic microorganisms from non-pathogenic ancestor
- Pathogenic islands, the best known Genomic islands are found in GM – and GM + bacteria and encode clusters of genes whose products contribute to virulence
- They are present in the genome of pathogenic strains of a given species but absent or only rarely present in those of non-pathogenic variants of the same or related species
- Availability of numerous complete genome sequences of bacterial pathogens and the use of genomic techniques have given us new tools to study and understand microbial pathogenesis
- Our knowledge on mechanisms of pathogenesis is increasing but much is still unknown

Final Thoughts

“Almost any bacterial species is capable of producing intestinal symptoms if swallowed in sufficient numbers”

DuPont and Pickering 1980

“Expect the unexpected”

Swerdlow and Altekkruse, 1998

“The future of microbes and mankind will probably unfold as episodes of a suspense thriller that could be entitled *Our Wits Versus Their Genes*”. - Joshua Lederberg. 2000.



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New Era, Old Problems: Emerging and Re-Emerging Pathogens

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Meet Our Speakers



Alvin Lee, Ph.D., MASM
Illinois Institute of Technology



Brendan Niemira, Ph.D.
U.S. Department of Agriculture



Purnendu C. Vasavada, Ph.D
University of Wisconsin-River Falls

Session Overview

- **Alvin Lee** – The genomic and environmental stressors that impact microbial pathogenesis
- **Purnendu Vasavada** – Evolution and Pathogenesis: What time has done to microorganisms - what we know and don't know.
- **Brendan Niemira** – Risk management options for preparedness and response to emerging pathogens
- **Conclusions, Q&A**



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The genomic and environmental stressors that impact microbial pathogenesis

Alvin Lee, IIT



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Evolution and Pathogenesis: What time has done to microorganisms - what we know and don't know.

**Purnendu C.
Vasavada, UW River
Falls**



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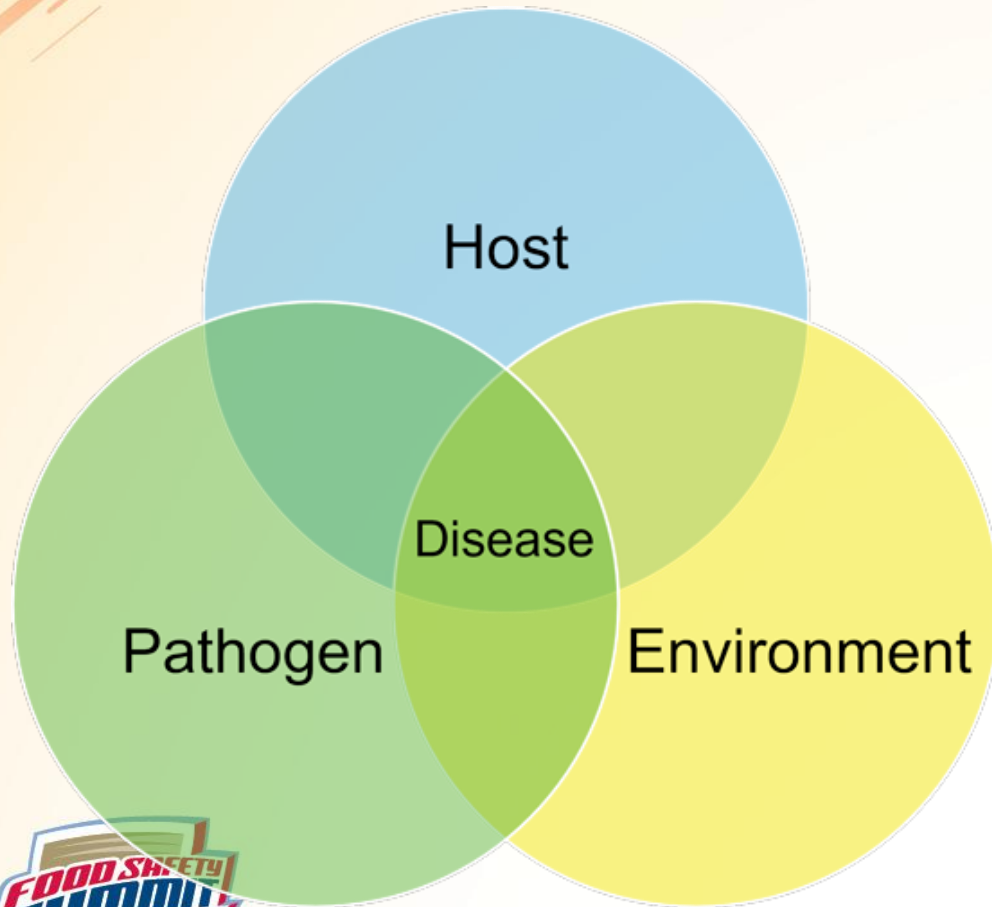
**Risk management options for
preparedness and response to
emerging pathogens**

**Brendan Niemira,
USDA**

Risk management options

- Pathogens and food safety incidents are part of the landscape
- Risk avoidance comes from preparedness
 - Quantitative and qualitative risk assessment as part of SOP
 - Risk avoidance and mitigation strategies should be baked in
- Understand risks and potential impacts
- Risks of familiar and conventional pathogens
- Risks of unfamiliar and emerging pathogens
 - May require fresh analysis of risk matrix

Change causes change



- Organisms cause disease when present in the right environment, in sufficient numbers
- New products, new processes... new pathogens?
- What makes an organism a pathogen:
 - Genes for harmful toxins
 - Circumstances that promote expression of those genes
 - Exposure/transfer to food and people
- Controls for a pathogen
 - General, broadly effective
 - Specific, targeted

Hazards vs. risks, with a side of analysis

- Hazard: a bad thing that could happen
- Hazard analysis:
 - A list of all* the bad things that COULD happen
 - How bad* it would be if they DID happen
- Risk: the likelihood that a hazard WILL happen
- Risk analysis: \sum [(likelihood)(how bad it would be)]

Risk assessment and calculation

- Models focus on primary risks, significant hazards
 - Can safely ignore risk of alien invasions, giant asteroids, etc.
- Potential food safety hazards: microbiological tests on raw ingredients are out of spec; failure of process control; break in cold chain; glass fragments in finished product; etc., etc.
- Assign numerical probabilities to each risk based on statistics, experience, record-keeping, etc.
- Derive relationships among various risks, some of which you can mitigate, some of which you can't.

Pathogen incidence: potential responses

- Avoidance
- Containment
- Eradication
- Do Nothing

Pathogen incidence: Avoidance

- Take steps to understand risks so as to avoid them
- What comes into the plant
 - Supplier verification, ingredient testing, land usage records, animal health records, carcass testing
- What's in the plant already
 - Water quality metrics, sanitary equipment design
- What happens in the plant
 - Process controls, worker training

Pathogen incidence: Containment

- Once an issue has been identified, keep it from spreading
- HACCP: control points, limits, corrective actions, testing
- HARPC: hazard analysis and risk-based preventative controls
- Process validation, test and hold, recalls, redirection
- Record keeping, historical incident analysis, retrospectives, root cause analysis
- Regulatory compliance and process change plans

Pathogen incidence: Eradication

- Treatments applied to foods and food contact surfaces to kill pathogens which might be present
- Interventions, processing technologies, antimicrobial steps
- Conventional end-of-shift cleaning
 - Disassembly, clean-in-place
 - Hot water, sanitizers, scrubs
- Newer interventions
 - Thermal or nonthermal interventions
 - Peracids, quats, hypochlorites, surfactants
 - Cold plasma, PEF, peroxides

Pathogen incidence: Do Nothing

- Is this ever a good idea?
- Not the automatic first option
- May be appropriate to consider if...
 - Impacts (immediate and long term) are very low or trivial
 - Actions (preemptive or responsive) are high cost or very difficult
- Instead of “Do Nothing”, may choose to “Do Little”
- Even if a limited response seems warranted, a full analysis will repay efforts by avoiding future occurrence

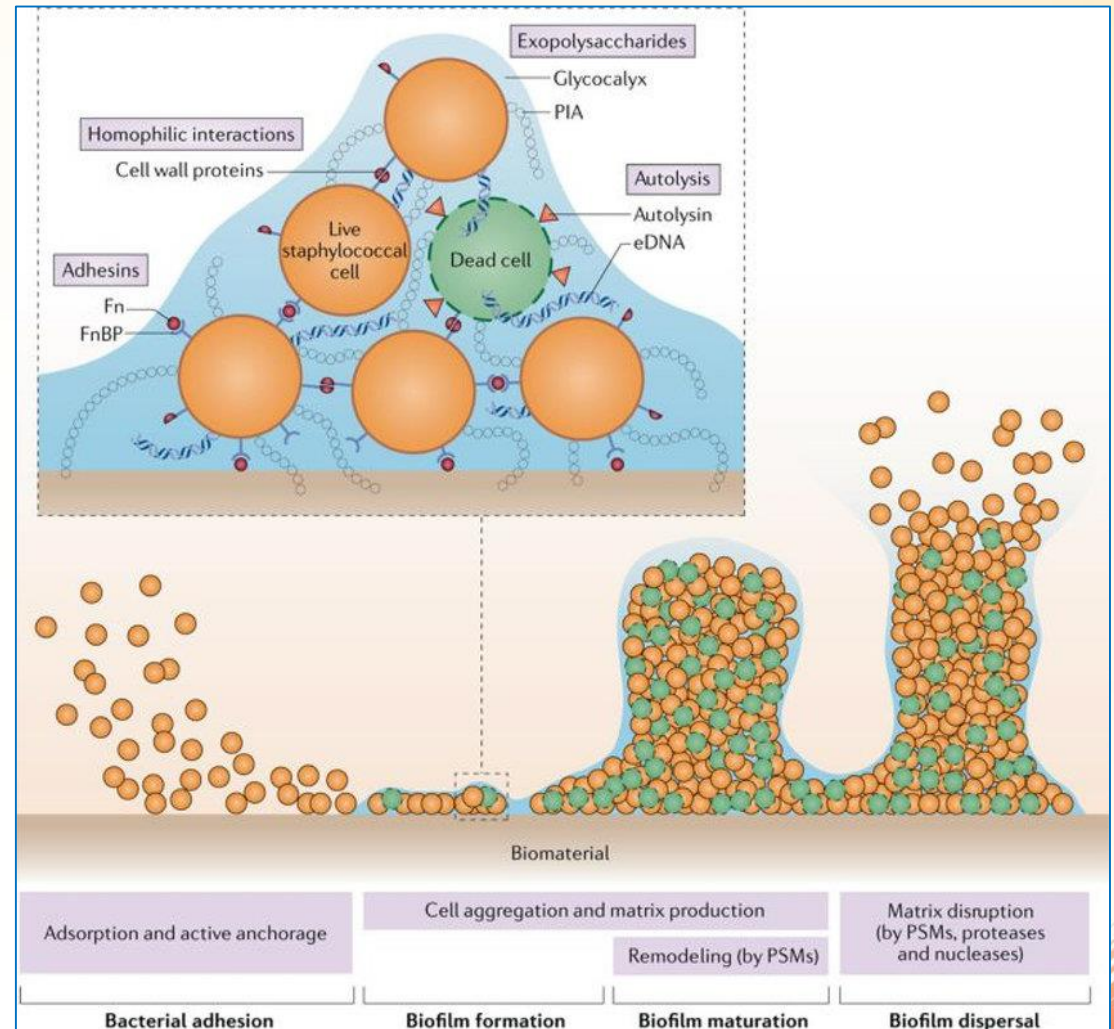


Biofilms

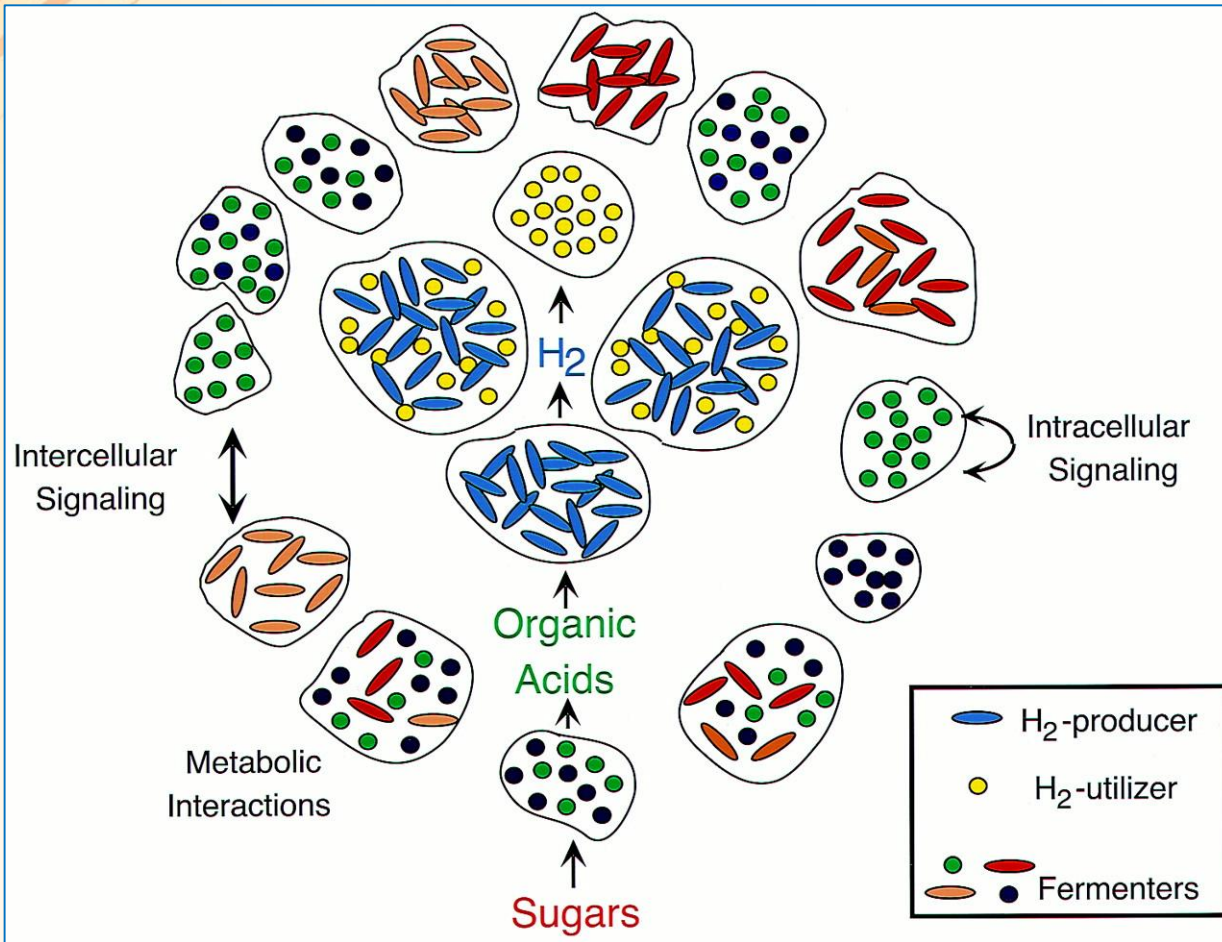
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Biofilms – a complex challenge

- Bacteria live in communities
 - Multiple species
 - Multiple strains
- Adherent extracellular matrix of proteins, carbohydrates, biopolymers
- Exchange of metabolites, DNA, RNA
- Protection from sanitizers, desiccation, freezing, heat



Biofilms – a complex challenge



- Pathogens can participate in mostly non-pathogenic biofilms
- Toxigenic strain may be weak, sensitive, poor biofilm former. Not much risk?
- In partnership with a non-toxigenic, non-pathogen which forms a strong, durable biofilm, risk is increased.
- Does the pathogen actively participate in biofilm formation? Or is it just along for the ride?
- Are genes traded? Are new genes expressed in a biofilm which are NOT expressed by free-living bacteria?

Davey and O'Toole, 2000. Microb Mol Biol Rev. 64(4)

Biofilms – quorum sensing

- Bacterial populations grow – accumulation, reproduction
- Intercellular communication, signaling. Sensing of environment and each other.
- Which critical thresholds are reached, new genes activate
- Changes to the behavior of individual participants and the biofilm as a whole
- Inhibition and/or deregulation of QS is a strategy for advanced antimicrobial interventions
- Challenge: need to know what happening before you can effectively interfere with it

Biofilms – quorum sensing, microbial ecology

- Biofilm microbial ecology remains only partially understood
- Multispecies interactions, dynamically changing environment
- Persistent, resistant
- Sanitation programs use combination of conventional tools and novel interventions
 - Variable efficacy against biofilms and biofilm-associated organisms

Resources and further reading

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 - Sorted by type of organism. Relevant information on food vectors, common contamination pathways, illness symptoms and treatments
 - Downloadable PDF
 - www.fda.gov/food/foodborne-pathogens/bad-bug-book-second-edition
- CDC – Current Outbreaks: www.cdc.gov/outbreaks/index.html
- CDC’s “Solve the Outbreak” online game
 - “Become a disease detective” – learn about dozens of infectious organisms and diseases while investigating outbreaks, foodborne and otherwise
 - www.cdc.gov/mobile/applications/sto/web-app.html





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Evolution and Pathogenesis: What time has done to microorganisms - what we know and don't know

Purnendu C. Vasavada
Professor Emeritus of Food Science
University of Wisconsin-River Falls
River Falls, WI. 54022

Agenda

- Introduction: Emerging, Reemerging Pathogens- What is in the name?
- Mechanism of Bacterial Pathogenicity
- Evolution of microbial Pathogens
- What we know and don't know
- Summary

Emerging, Reemerging Pathogens- What is in the name?

The Challenge of Emerging Pathogens



Infectious Diseases: Considerations for the 21st Century

Anthony S. Fauci

National Institute of Allergy and Infectious Diseases, National Institutes of Health, Bethesda, Maryland

Clin Infect Dis. 2001;32:675–85.

The challenge of emerging and re-emerging infectious diseases

David M. Morens, Gregory K. Folkers & Anthony S. Fauci

National Institute of Allergy and Infectious Diseases, National Institutes of Health, Department of Health and Human Services, Bethesda, Maryland 20892-2520, USA (e-mail: afauci@niaid.nih.gov)

Infectious diseases have for centuries ranked with wars and famine as major challenges to human progress and survival. They remain among the leading causes of death and disability worldwide. Against a constant background of established infections, epidemics of new and old infectious diseases periodically emerge, greatly magnifying the global burden of infections. Studies of these emerging infections reveal the evolutionary properties of pathogenic microorganisms and the dynamic relationships between microorganisms, their hosts and the environment.

Nature, volume 430, pages 242–249 (2004)

Emerging, Reemerging and Opportunistic Pathogens

- **Emerging pathogens** are New, reemerging, or drug-resistant infections whose incidence in humans have increased within the past two decades or threatened to increase in the near future
- **Reemerging Pathogens**- involved in the reappearance of a known disease following a decline in incidence including newly recognized pathogens, new diseases caused by known organisms, and the extension of the geographic or host range of a pathogen
- **Opportunistic Pathogens**- are microbes that usually do not cause disease in healthy people, but may become virulent with immunocompromised and unhealthy individuals

Emerging, Reemerging and Opportunistic Pathogens

Early 1900

- Typhoid fever
- Tuberculosis
- Septic sore throat
- Diphtheria
- Brucellosis

1940s-1960s

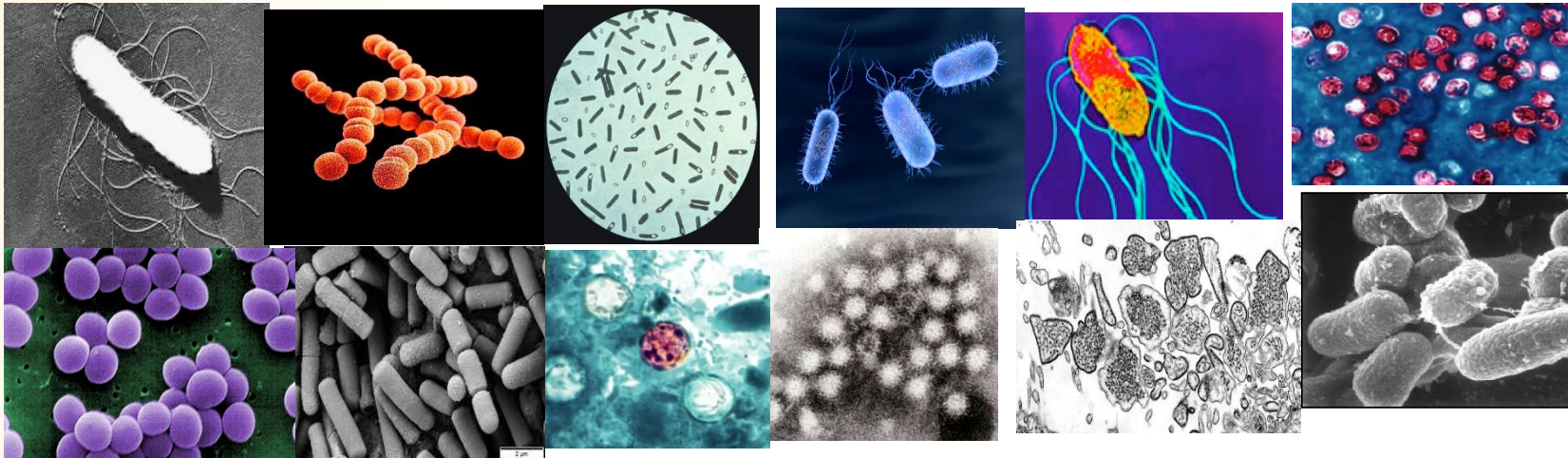
- Clostridium botulinum
- Salmonella Spp.
- Staphylococcus aureus
- Streptococci

1960s - 1990s

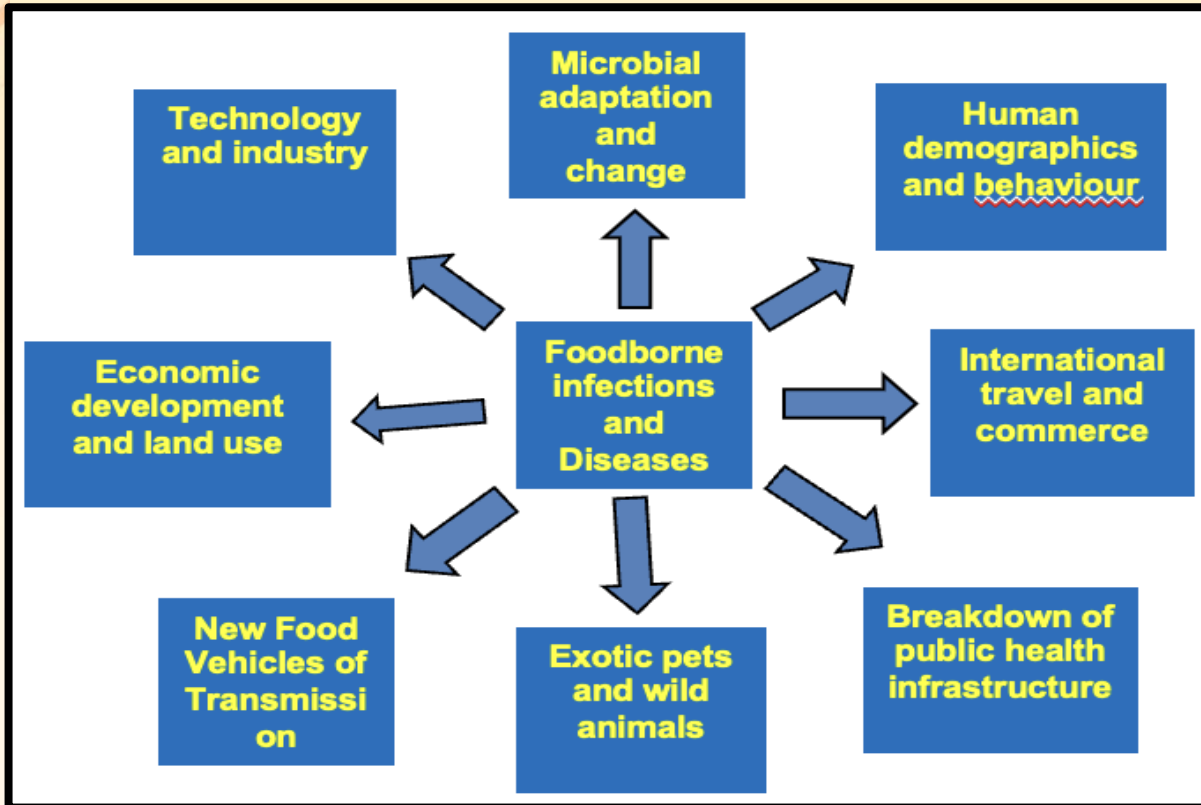
- Salmonella
- Clostridium perfringens
- Vibrio parahaemolyticus
- Bacillus cereus
- Pathogenic E. coli
- Campylobacter jejuni

2000 - s

- Salmonella Spp.
- E. coli 057:H7
- Non 0157 EHEC/STECs
- Listeria monocytogenes*
- Yersinia enterocolitica*
- Bacillus cereus*
- Staphylococcus aureus
- Cronobacter sakazakii
- Vibrio parahemolyticus
- V. vulnificus
- Cryptosporidium, Cyclospora, Toxoplasma gondii
- Norovirus, Hep A, Nipah Virus



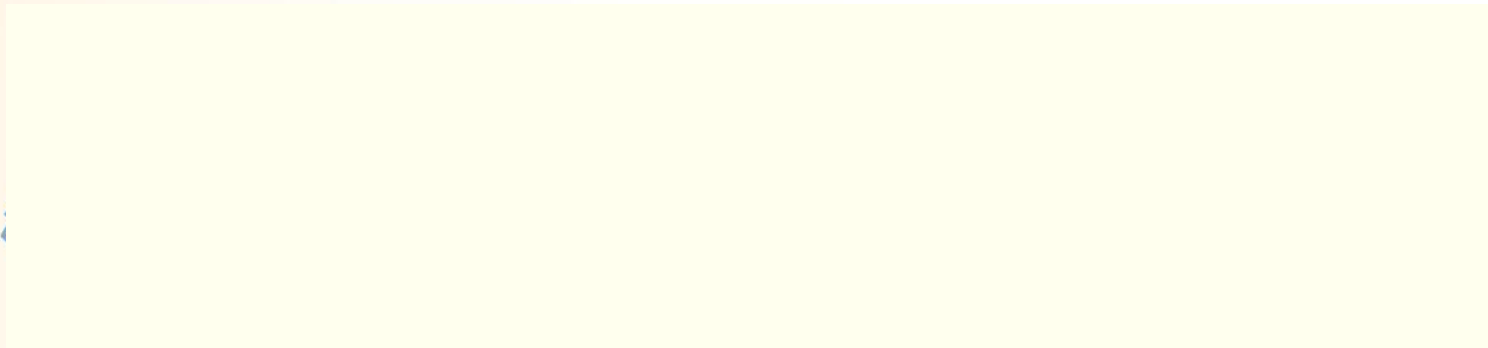
Factors Contributing to the Emergence of Foodborne Pathogens and Diseases



- Microbial adaptation and change
- Human susceptibility to infection
- Climate and weather
- Changing ecosystems
- Human demographics and behavior
- Economic development and land use
- International travel and commerce
- Technology and industry
- Breakdown of public health measures
- Other social, political and economic factors e.g. War and famine, Lack of political will, and Intent to harm



Mechanisms of Bacterial Pathogenicity



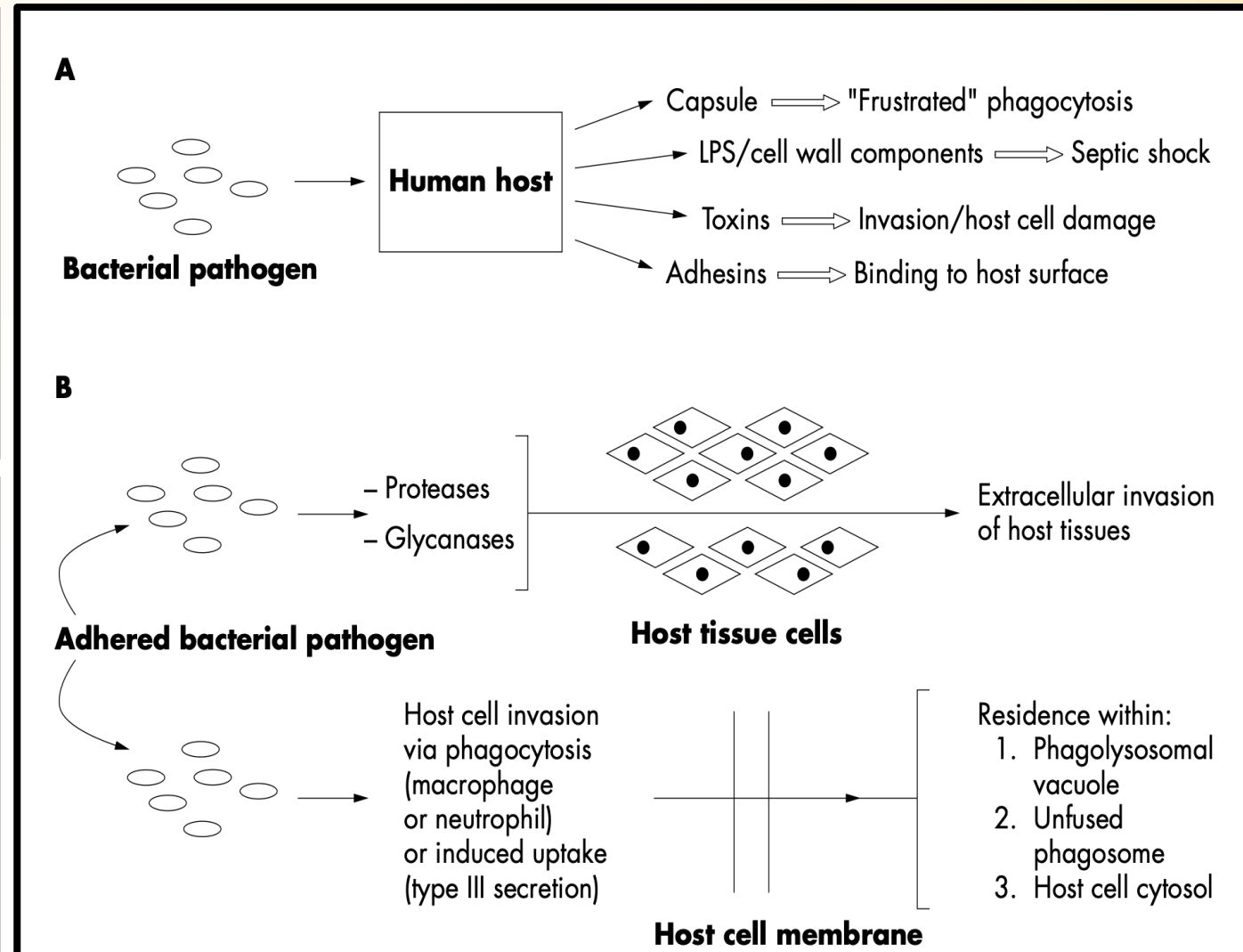
Pathogenesis and Pathogenicity

- **Pathogenesis** refers to the development of a disease
- **Pathogenicity** refers to the ability of an organism to cause disease.
- Commensals and opportunistic pathogens lack this inherent ability to cause disease
- **Virulence** refers to the degree of pathology caused by the organism.
- Virulence genes are involved in horizontal (lateral) transfer
- The extent of the virulence is usually correlated with the ability of the pathogen to multiply within the host and may be affected by other factors.
- Pathogenicity is used as a qualitative term, virulence is used more as a quantitative term.

Mechanisms of Bacterial Pathogenicity

- 4 Stages of Pathogenesis
- Exposure (contact)
- Adhesion (colonization)
- Invasion
- Infection

- Survival and replication after invasion
- Adaptation to the new environment
- Antibiotic resistance
- Biofilm

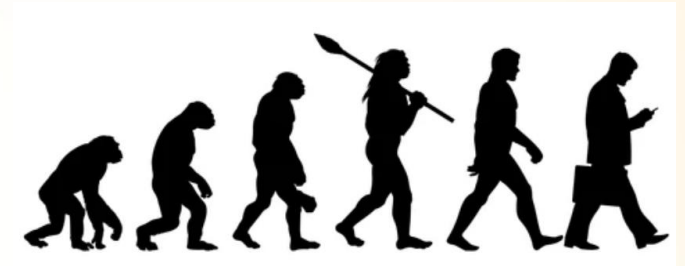
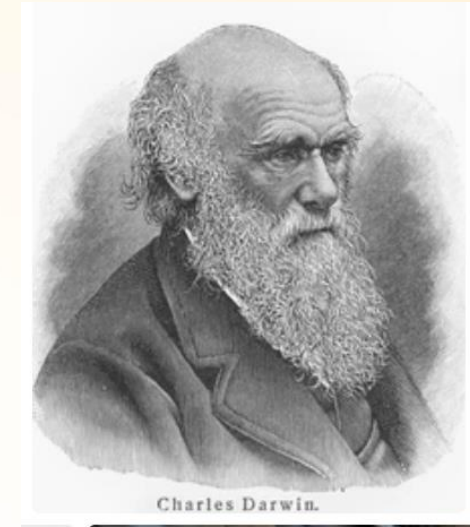
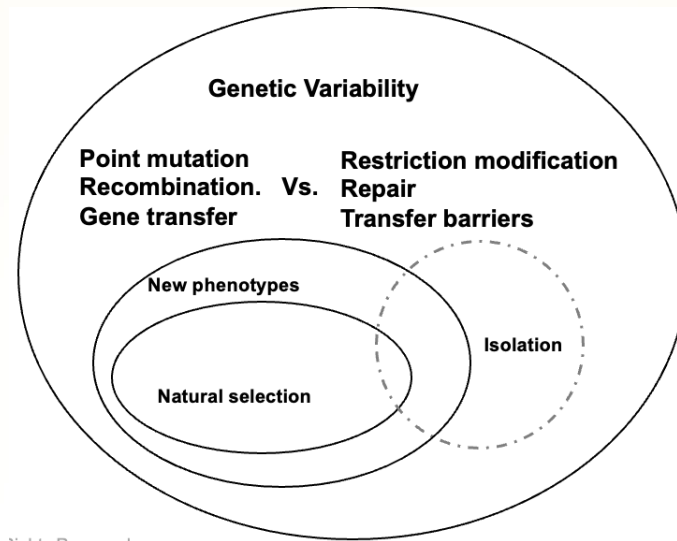


Evolution of microbial Pathogens

Evolution

Darwinian Principles of Evolution

- Genetic variability
- Phenotype formation
- Selection, and
- Isolation



Pathogens evolve over time following [natural selection](#).

Evolution of Microbial Pathogens

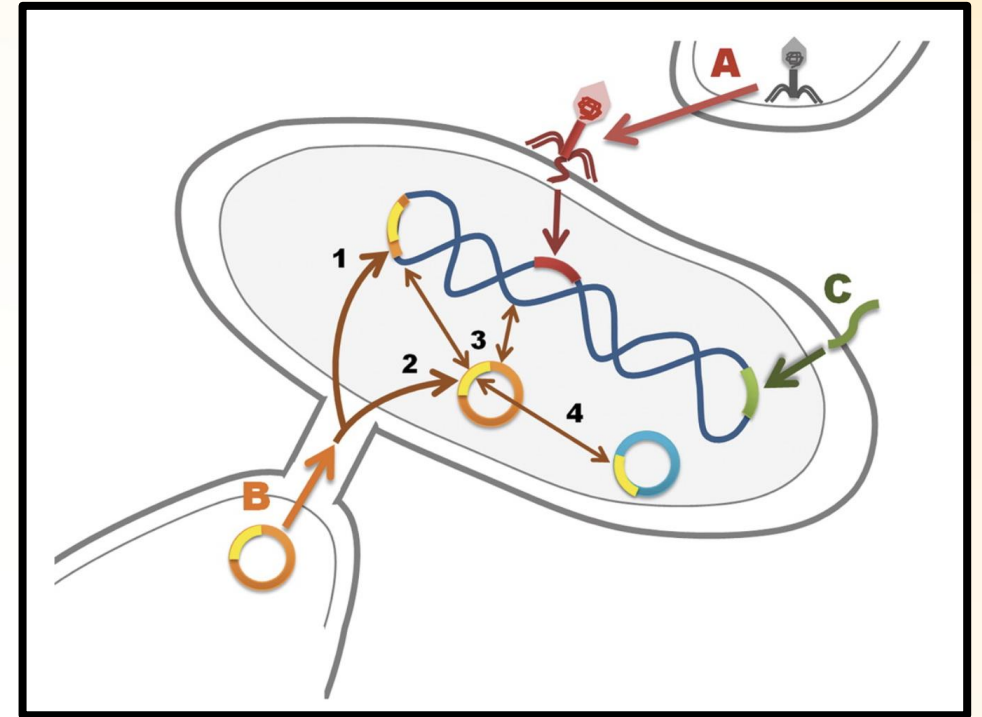
- Bacteria can evolve from non-pathogens to become pathogens through acquisition of new genetic material that enables them to colonize a host species and have detrimental effects on the host
- Bacterial evolution occurs through mechanisms including natural selection and genetic drift and can result in adaptations to environmental change or host immunity
- The genetic makeup of bacterial genomes is subject to rapid and dramatic change through a variety of processes collectively referred to as “horizontal gene transfer” (HGT), which plays a principal part in the molecular evolution of novel bacterial pathogens
- Pathogenic bacteria can undergo further genetic modification that leads to altered virulence and changes in their genome

Genetic Mechanisms in Bacterial Evolution

- **Macro evolution**- Long-term processes leading to the development of new species or subspecies
- **Microevolution**- Short-term developments, which occur during days or weeks
- Both processes, macro- and microevolution need horizontal gene transfer, which is particularly important for the development of pathogenic microorganisms.
- Horizontal or Lateral gene transfer (HGT) and Mobile Genetic Elements (MGE) are important in evolution of pathogen from non-pathogenic ancestor

Genetic Mechanisms in Bacterial Evolution

- Transfer of foreign DNA –
 - Horizontal or Lateral gene transfer (HGT)
 - Transformation
 - Transduction,
 - Conjugation
 - Mobile genetic elements (MGE)



Genetic Mechanisms in Bacterial Evolution

- Horizontal Gene Transfer (HGT)
- Mobile Genetic Elements (MGE) - the DNA mobilized into the host bacterium
 - Plasmids, bacteriophages, Integrative and conjugative element, prophages, and Pathogenicity islands (PAIs) play a crucial role in the evolution of pathogens.
 - Virulence genes transferred via HGT include genes for bacterial adherence to host cells, type 3 secretion systems, toxins, iron acquisition, and antimicrobial resistance

Mobile Genetic Elements in Selected Bacterial Pathogens

Organism	Mobile Element	Virulence mechanism
EHEC, EPEC, ETEC	Plasmids, Phage, Pathogenic Island	Adherence, type III secretions, stx
Salmonella enterica	Plasmid, Pathogenic Island	Invasion of nonphagocytic cells, intracellular survival and replication
Clostridium perfringens	Plasmid, Pathogenic Island	Toxins
Listeria monocytogenes	Plasmid, Pathogenic Islands	Adherence, Invasion, enzymes
Enterococcus spp.	Plasmid, Pathogenic Islands	Biofilm, toxins, pili
Staphylococcus aureus	Pathogenic Islands, Phages	Superantigen, leukocidin

Genomic Islands and Pathogenicity Islands

- Genomic islands— blocks of DNA containing mobile genetic elements transferred from the donor organisms to recipient.
- Widely distributed in GM + and GM – bacteria and known to encode a variety of functions
- Depending on the functions which are encoded by genomic islands, they may also be called symbiosis islands, metabolic or resistance islands and pathogenic islands

Genomic Islands and Pathogenicity Islands

- Occur as distinct units on the core chromosome with a general genetic structures characterized by a set of unifying features.
- Present in the genomes of many bacteria but absent from the genomes of closely related strains
- Often large (10–200 kb); however, smaller inserts (1–10 kb) can occur
- They differ in GC content and in their codon usage from the rest of the chromosome
- They are flanked by specific sequences (direct repeats)
- They are usually associated with tRNA loci.

Pathogenicity Islands

- Best known Genomic islands
- Represent compact, distinct genetic units, often flanked by direct repeats
- Encode clusters of genes whose products contribute to virulence
- Different G+C content in comparison to DNA of host bacteria
- Occupy large chromosomal regions (often > 30 kb).
- Found in GM – and GM + bacteria and known to encode a variety of functions
- They are present in the genome of pathogenic strains of a given species but absent or only rarely present in those of non-pathogenic variants of the same or related species.

Functions Coded by Pathogenic Islands

Function	Organism	Increased pathogenicity
iron uptake	Salmonella enterica Klebsiella spp. Yersinia spp., Bacillus cereus	+
toxin production	Vibrio cholerae	+
Adhesins	urinary E. coli	
Methicillin resistance	Staphylococcus aureus	
type III-system	Salmonella enterica Shigella flexneri Yersinia spp.	+
type IV-system	Helicobacter pylori	+

Hacker and Carmiel (2001)



What we know and don't know



Summary

- Emerging, re-emerging and opportunistic pathogens are important threat to food safety and public health
- Bacterial pathogenesis involve ability of pathogen to invade and infect the host, survival and replication after invasion and adaptation to the new environment as well as dealing with host immunity, antibiotic resistance, and biofilm
- Horizontal gene transfer via transformation, transduction and combination as well as Mobile Genetic Elements (MGE) are particularly important for the development of pathogenic microorganisms from non-pathogenic ancestor
- Pathogenic islands, the best known Genomic islands are found in GM – and GM + bacteria and encode clusters of genes whose products contribute to virulence
- They are present in the genome of pathogenic strains of a given species but absent or only rarely present in those of non-pathogenic variants of the same or related species
- Availability of numerous complete genome sequences of bacterial pathogens and the use of genomic techniques have given us new tools to study and understand microbial pathogenesis
- Our knowledge on mechanisms of pathogenesis is increasing but much is still unknown

Final Thoughts

“Almost any bacterial species is capable of producing intestinal symptoms if swallowed in sufficient numbers”

DuPont and Pickering 1980

“Expect the unexpected”

Swerdlow and Altekruze, 1998

“The future of microbes and mankind will probably unfold as episodes of a suspense thriller that could be entitled *Our Wits Versus Their Genes*”. - Joshua Lederberg. 2000.



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New Era, Old Problems: Emerging and Re-Emerging Pathogens

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Meet Our Speakers



Alvin Lee, Ph.D., MASM
Illinois Institute of Technology



Brendan Niemira, Ph.D.
U.S. Department of Agriculture



Purnendu C. Vasavada, Ph.D
University of Wisconsin-River Falls

Session Overview

- Alvin Lee – The genomic and environmental stressors that impact microbial pathogenesis
- Purnendu Vasavada – Evolution and Pathogenesis: What time has done to microorganisms - what we know and don't know.
- Brendan Niemira – Risk management options for preparedness and response to emerging pathogens
- Conclusions, Q&A





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**The genomic and environmental stressors
that impact microbial pathogenesis**

Alvin Lee, IIT



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Evolution and Pathogenesis: What time has done to microorganisms - what we know and don't know.

**Purnendu C. Vasavada,
UW River Falls**



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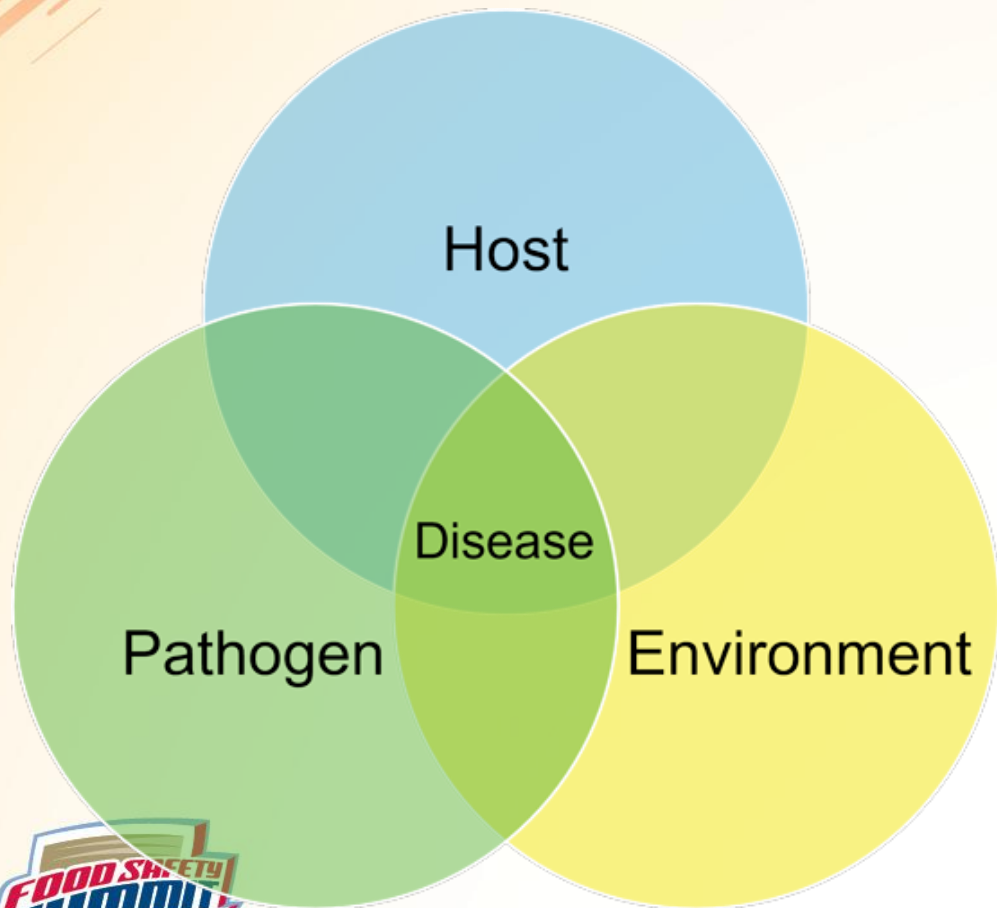
**Risk management options for preparedness
and response to emerging pathogens**

Brendan Niemira, USDA

Risk management options

- Pathogens and food safety incidents are part of the landscape
- Risk avoidance comes from preparedness
 - Quantitative and qualitative risk assessment as part of SOP
 - Risk avoidance and mitigation strategies should be baked in
- Understand risks and potential impacts
- Risks of familiar and conventional pathogens
- Risks of unfamiliar and emerging pathogens
 - May require fresh analysis of risk matrix

Change causes change



- Organisms cause disease when present in the right environment, in sufficient numbers
- New products, new processes... new pathogens?
- What makes an organism a pathogen:
 - Genes for harmful toxins
 - Circumstances that promote expression of those genes
 - Exposure/transfer to food and people
- Controls for a pathogen
 - General, broadly effective
 - Specific, targeted

Hazards vs. risks, with a side of analysis

- Hazard: a bad thing that could happen
- Hazard analysis:
 - A list of all* the bad things that COULD happen
 - How bad* it would be if they DID happen
- Risk: the likelihood that a hazard WILL happen
- Risk analysis: \sum [(likelihood)(how bad it would be)]

Risk assessment and calculation

- Models focus on primary risks, significant hazards
 - Can safely ignore risk of alien invasions, giant asteroids, etc.
- Potential food safety hazards: microbiological tests on raw ingredients are out of spec; failure of process control; break in cold chain; glass fragments in finished product; etc., etc.
- Assign numerical probabilities to each risk based on statistics, experience, record-keeping, etc.
- Derive relationships among various risks, some of which you can mitigate, some of which you can't.

Pathogen incidence: potential responses

- Avoidance
- Containment
- Eradication
- Do Nothing

Pathogen incidence: Avoidance

- Take steps to understand risks so as to avoid them
- What comes into the plant
 - Supplier verification, ingredient testing, land usage records, animal health records, carcass testing
- What's in the plant already
 - Water quality metrics, sanitary equipment design
- What happens in the plant
 - Process controls, worker training

Pathogen incidence: Containment

- Once an issue has been identified, keep it from spreading
- HACCP: control points, limits, corrective actions, testing
- HARPC: hazard analysis and risk-based preventative controls
- Process validation, test and hold, recalls, redirection
- Record keeping, historical incident analysis, retrospectives, root cause analysis
- Regulatory compliance and process change plans

Pathogen incidence: Eradication

- Treatments applied to foods and food contact surfaces to kill pathogens which might be present
- Interventions, processing technologies, antimicrobial steps
- Conventional end-of-shift cleaning
 - Disassembly, clean-in-place
 - Hot water, sanitizers, scrubs
- Newer interventions
 - Thermal or nonthermal interventions
 - Peracids, quats, hypochlorites, surfactants
 - Cold plasma, PEF, peroxides

Pathogen incidence: Do Nothing

- Is this ever a good idea?
- Not the automatic first option
- May be appropriate to consider if...
 - Impacts (immediate and long term) are very low or trivial
 - Actions (preemptive or responsive) are high cost or very difficult
- Instead of “Do Nothing”, may choose to “Do Little”
- Even if a limited response seems warranted, a full analysis will repay efforts by avoiding future occurrence

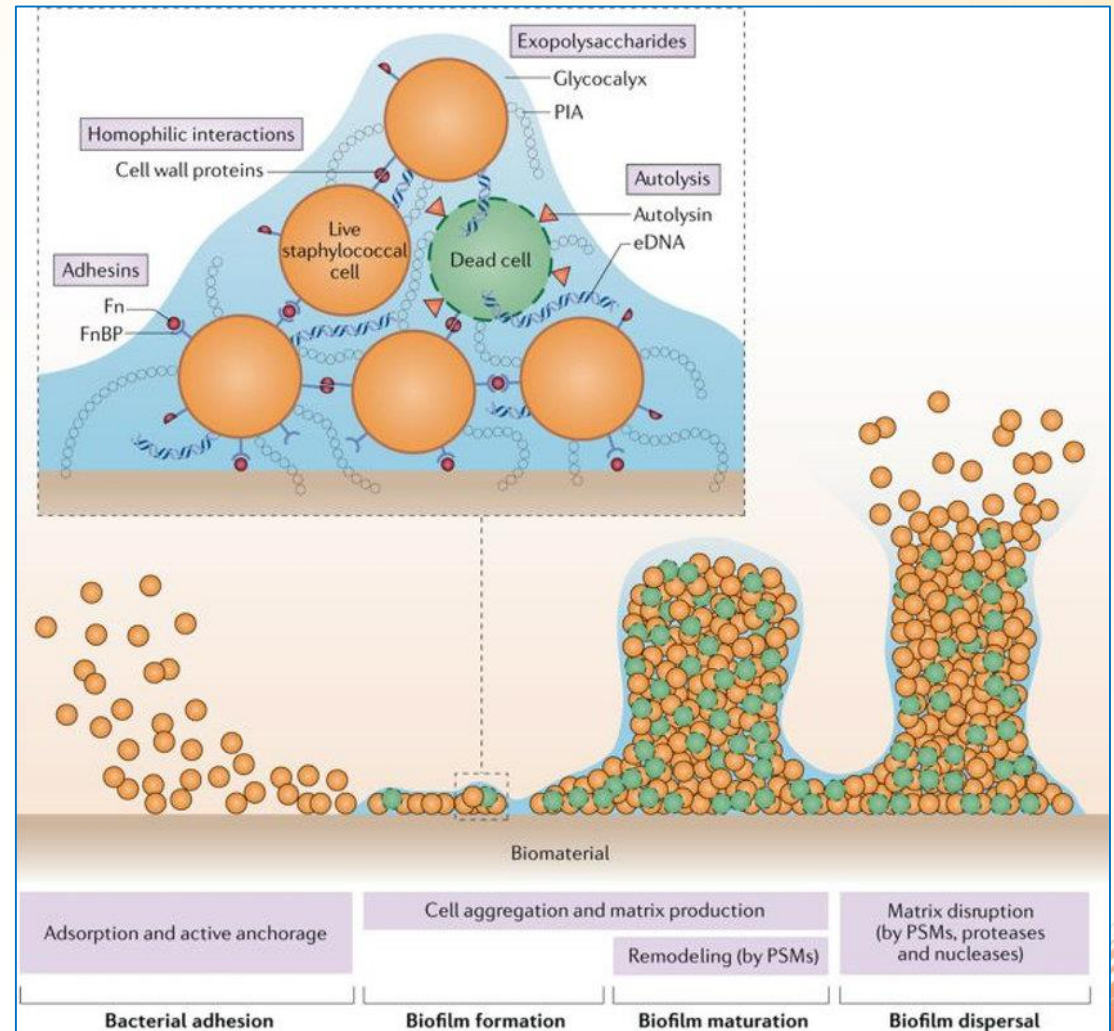


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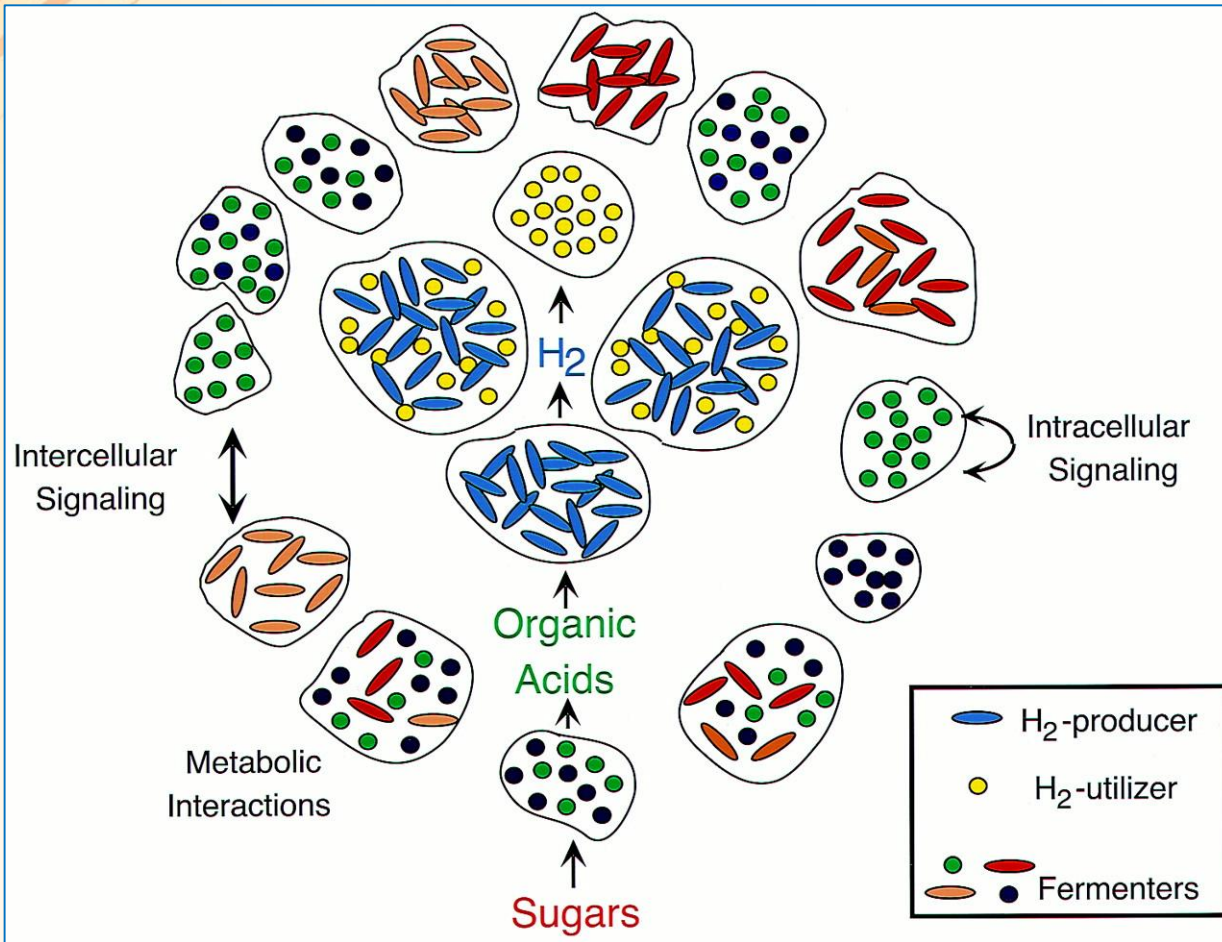
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Conclusions and Questions

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 - www.cdc.gov/mobile/applications/sto/web-app.html





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